#### **FILE AND USE NOTIFICATION**



L-FUN (10/2021)

## Complete the attached form in its entirety

Email the File and Use Notification to your local TABC office using the email address below that corresponds to your TABC region. **Important:** If submitting via email you must include "File and Use Notification" and the type of event in the subject line of the email. Example: "File and Use Notification – Wedding." While email is preferred, alternatively you may submit this form via mail or in person to <u>your local TABC office</u>.

#### **Regional Office Email Addresses:**

Region 1	EventsLubbockRegion@tabc.texas.gov
Region 2	EventsArlingtonRegion@tabc.texas.gov
Region 3	EventsHoustonRegion@tabc.texas.gov
Region 4	EventsAustinRegion@tabc.texas.gov
Region 5	EventsSanAntonioRegion@tabc.texas.gov

#### Authorities and Responsibilities:

- A File and Use Notification is effective for no more than four consecutive days for each temporary event. No more than ten temporary events in a calendar year may be held at the same location by the same licensee/permittee, including both File and Use Notifications and Temporary Event Authorizations.
- License/permit holders must maintain exclusive control of all phases of the possession, sale, and service of alcohol at the event location. This includes but is not limited to available brands, pricing, inventory purchase, sales, records, transportation, storage, hours of operation, and employees or volunteers.
- Event hours must adhere to hours of operation authorized by local authorities.
- A copy of the File and Use Notification form filed with TABC must be displayed in a conspicuous place at all times during the event.
- After the conclusion of the temporary event, remaining inventory of alcoholic beverages must be returned to the primary licensed location.
- The File and Use Notification must cover the time of receipt of the alcohol as well as its storage.
- It is the responsibility of the license/permit holder to verify and adhere to all state and local laws, ordinances, and regulations, and to obtain all necessary local approvals or authorizations. Contact the local office of the Comptroller of Public Accounts for information concerning any responsibility to submit state taxes.

# In addition to other standard recordkeeping requirements, the following records must be kept by the licensee/permittee for an event qualifying for a File and Use Notification, pursuant to Rule 33.76(e):

- Number of attendees at the event:
- Dollar amount of alcohol purchased for and sold/served at the event; and
- Copy of the agreement with the venue authorizing the event (i.e., the owner of premises authorization), including
  - Date of event
  - Date of hire
  - Type of event (wedding, private office party, birthday party, funeral)
  - Copy of invitation to the event or copy of obituary for a funeral-related event, and
  - Copy of other event information

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# TEXAS ALCOHOLIC BEVERAGE COMMISSION Texans Helping Businesses & Protecting Communities

### **FILE AND USE NOTIFICATION**

L-FUN (10/2021)

PRIMARY LICENSE/PERMIT INFORMATION					
1. TABC License/Permit No.:	2. Trade Name:				
3. License/Permit Type:	·				
☐ Mixed Beverage (MB) ☐ Private Club (N, NE,	NB) Wine/Malt Beverage (E	BG) Malt Beverage	(BE) Winery (G)		
TEMPORARY EVENT INFORMATION					
4. Event Address Street Number: Street Name:					
City:	County:	S	tate: Zip Code:		
5. Event Dates and Times (Dates and times must include delivery and/or storage of alcohol.)					
Start Date:Time:AM PM PM	End Date:	Time: AN	И 🗌 РМ 🔲		
6. Description of Event Location: (Ex: festival, north si	de of park, etc. <b>Note:</b> Submit site	map.)			
LOCATION INFORMATION					
7. Do you own or lease the location you are using for			YES NO		
8. If you do not own or lease the location, have you of location to sell alcohol?	YES NO				
If "NO," obtain permission before submitting this form.					
9. Is the event open to the general public? (Selling tickets or hosting an event that lasts					
longer than one day can indicate it is not private.)	tets or nosting an event that lasts		YES NO		
10. Is the estimated attendance more than 500 person	s?		YES NO		
11. Is the estimated wholesale value of the alcoholic be			YES NO		
<ol><li>Does this event involve sponsorship from an upper Winery, Wholesaler and/or Distributor)</li></ol>	tier license/permit holder (i.e. a	Brewer, Distillery,	YES NO		
IF <u>ANY</u> OF QUESTIONS 9-12 ARE ANSWERED YES, <u>STOP</u> . THE EVENT REQUIRES PRIOR APPROVAL BY THE					
AGENCY. SUBMIT TEMPORARY EVENT APPROVAL FORM L-TEA.					
<ol> <li>Will the temporary event embrace the entire building the event prem of the event prem</li> </ol>		indicated above?	YES NO		
IF THIS EVENT IS AT A LOCATION WITH A PENDING ORIGINAL APPLICATION THAT IS REQUIRED TO POST A					
60-DAY SIGN, <u>STOP</u> . CONTACT YOUR LOCAL TABC OFFICE.					
CONTACT INFORMATION  By signing below, you affirm, and represent to TABC, that the above information is true and correct, and that you have the legal authority to request the privilege identified in this application on behalf of the named license/permit holder.					
Print Name	Signature				
Title	Phone No.:				
Email Address:	C	lick to add date:			