



Legislative Appropriations Request

For

Fiscal Years 2006 and 2007

**Submitted to the
Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board
by the**

TEXAS ALCOHOLIC BEVERAGE COMMISSION

August 13, 2004

John T. Steen, Jr., Chairman
Gail Madden, Member

November 15, 2007
November 15, 2005

San Antonio, Texas
Dallas, Texas

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ADMINISTRATOR'S STATEMENT

79th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

Statement of Administrator

The Texas Alcoholic Beverage Commission was created by the legislature in November 1935, as the Texas Liquor Control Board following the repeal of prohibition. The agency is responsible for the supervision and regulation of all phases of the alcoholic beverage industry to ensure the welfare, health, peace, temperance and safety of the citizens of the State of Texas. This includes granting, refusing, canceling or suspending permits and licenses; supervising, inspecting and regulating the manufacture, importation, exportation, transportation, sale, storage, distribution and possession of alcoholic beverages; assessing and collecting fees and taxes; investigating for violations of the Alcoholic Beverage Code; prosecuting and assisting in the prosecution of violators; seizing illicit beverages; and approving labels and products for distribution in the state. The commission members pass rules to assist the agency in the above responsibilities.

The agency is governed by three members appointed by the Governor and confirmed by the Senate. The members are John T. Steen, Jr., Chairman, of San Antonio whose term expires November 15, 2007, and Gail Madden of Dallas whose term expires November 15, 2005. A vacancy currently exists for the third commissioner. The commissioners appoint the administrator who is responsible for the day-to-day affairs of the agency as well as ensuring the policies of the commission are followed.

The agency has three functional divisions to accomplish the mission of the agency-Enforcement, Licensing and Compliance. The Enforcement Division is the largest of the agency and comprises 52.3% of the agency's budget while providing criminal and administrative law enforcement, education of public (including school-aged children) and industry groups, field regulation of marketing practices and various types of investigations.

The Licensing Division issues over 96,000 license and permits annually to retail, wholesale and manufacturing entities in Texas as well as to manufacturing companies throughout the world. The Compliance Division certifies and monitors the seller/server training activities, collects taxes on alcoholic beverages and cigarettes as they are imported for personal consumption at our Texas borders, audits excise taxes and other regulatory issues, conducts various investigations, assists with property control and seized beverages and property, and performs a multitude of regulatory responsibilities.

These divisions are supported by the Business Services Division, Human Resources Division and the Information Resources Division. The agency recently added an Investigative Division to focus on ensuring applicants are in compliance with requirements, are qualified to hold alcoholic beverage permits and are in adherence to legal requirements involving financial transactions and the three-tier system as well as fraud prevention and other unlawful deceptions.

Significant Changes in Policy

With the addition of the Investigations Division, the agency is focusing on the qualification of applicants for licenses and permits and the continuing operation of locations that are in adherence to the Alcoholic Beverage Code. These efforts are not new in the agency but do represent added attention to a more organized effort to continue to review the operation of licensed premises to ensure fairness and balanced competition.

Significant Change in Provision of Service

There are several changes that will affect the agency in coming months and years. The last legislative session brought about substantial changes in the way in which local options elections could be petitioned and held. As of May 2004, 59 elections have been held to legalize the sale of some type of alcoholic beverages. Only 8 of these elections have failed while still more elections will be held in September 2004. This adds even more locations to regulate and applications to process in the more rural areas of the state where the commission has very small numbers of staff.

The agency is currently undergoing a transformation of its legacy-based technology to a web-based system. This will provide in the coming biennium the opportunity for renewal of alcoholic beverages licenses and permits to be submitted online. Imaging is also part of this process and will result in a significant reduction in the massive amounts of paper the agency currently handles and maintains. In addition to this project, the agency is pursuing several technology projects, which will enhance the

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operations and management of the agency and its employees such as a human resource system, management reporting system, case management system and a law enforcement intelligence system.

The agency is currently undergoing sunset review and the recommendations will be considered in the 2005 legislative session. It is hoped that significant changes to the application process will be recommended and adopted to streamline the process and simplify the application.

Significant Externalities

Several key service populations will increase in size between fiscal years 2004 and 2009.

The agency does have some concerns about the aggregate growth expected in the number of retailers and in the "under 21" age group. Any increase in the number of regulated premises will create additional demands on the agency's limited resources. An increase of the magnitude anticipated (three percent) will not overwhelm the agency's resource base, but it will create additional stresses. If the percentage is larger than expected, service will certainly be impacted.

Market share competition in the wholesale and manufacturing tiers have already stretched the agency resources available to deal with those segments of the industry. Should that competition intensify, the number of marketing practice violations will grow and more resources will be required for marketing practices compliance efforts.

Exceptional Items

As per instructions in preparing the agency's legislative request, the base request represents a 5% reduction from current operating budget as well as a \$2.3 million biennium reduction for the monies received last session for the technology project. The agency has worked hard to streamline operations and to employ efficient practices. The majority of re-occurring costs of current technology projects has been included in our base-line request but a fragment of that cost is included in the first exceptional item. In reviewing agency operations and areas for decreases, we find the agency's largest expenditure, salaries, is the only available means to meet the majority of the 5% reduction. Every department currently operates at a minimum level and a large decrease in any one area would result in significant decrease in service; therefore, the 5% reduction is generally across the board for the agency. The first exceptional item would be to restore the 5% cut to the baseline. This would restore 14 agents and increase projected measures by 8% in enforcement and allow for the staffing needed to give added attention to licensing standards investigations. This would allow the licensing staff to retain its FTEs to meet its current measures and timely process and issue original and renewal applications. The ports of entry to the state continue to grow and the hours of operation could be restored to normal if the reduction is restored. In total, the first exceptional item would restore 34 FTEs and some operational costs.

The second exceptional item allows the agency to re-classify its compliance officers. The agency has experienced difficulty in attracting an adequate pool of candidates for compliance officer positions and, secondly, retaining employees with less than 10 years of experience. After review of other agencies and market conditions, the agency was under market substantially for new hires and failed to provide a competitive career path. This item would certainly aid in the retention of employees and maintain that critical level of institutional knowledge.

Due to funding issues in prior years, the agency has not been able to maintain a competitive salary for employees with some tenure with the agency. The statewide average for employees below the mid-range is 66% and the TABC average is 90% for schedule A and 96% for schedule B. This would increase the salaries of employees below the mid-range of the schedule with 8 years or more experience and above average evaluations to the mid-range of their pay group. Exceptional item 3 allows the agency to remain competitive with other state agencies and retain tenured employees.

Items 4 and 5 allow the agency to staff the new ports of entry bridges at Donna and Anzalduas. The bridges are scheduled to be completed during the summer of 2005 and the agency currently only has funding for the several months of fiscal year 2005. This would continue operation for the next biennium.

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The last two exceptional items will allow the agency to pursue technology projects which will automate a collections system at the ports of entry and effectuate enhanced controls, reporting and management. It will also provide a project to automate the excise tax reporting. This will allow the industry to file reports online and to efficiently process the reports as well.

Other Issues

It is not in question that our employees are our greatest resources. Within this agency, funding is not such that merit increases or promotions are a common occurrence; hence, we have what we believe to be an underpaid staff. We have asked for mild increases in the select salary groups but we would encourage the state leaders to take a broad perspective and review and pursue large across the board raises for state employees. The entry-level salaries for many positions do not provide a livable wage and require employees to be on other assistance.

Finally, this is an agency that has continued to meet its challenges and consistently meets stated performance goals. The Commission diligently supports the efforts of the staff to stay within all legislative mandates and to seek continual improvement. Understanding the state has many budgetary concerns and issues, the agency will continue to do its best in meeting its responsibilities and appreciates any consideration provided.

2.A. SUMMARY OF BASE REQUEST BY STRATEGY
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DATE: **8/13/2004**
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Agency code: **458** Agency name: **Alcoholic Beverage Commission**

Goal / Objective / STRATEGY	Exp 2003	Est 2004	Bud 2005	Req 2006	Req 2007
1 Promote Health/Safety/Welfare of Public by Voluntary Compliance					
1 <i>Detect/Prevent Law Violations</i>					
1 ENFORCEMENT	13,813,129	15,483,603	15,426,102	15,384,565	15,439,451
2 EDUCATION PROGRAMS	544,273	548,917	557,589	536,438	537,126
TOTAL, GOAL 1	\$14,357,402	\$16,032,520	\$15,983,691	\$15,921,003	\$15,976,577
2 Process/Issue Alcoholic Beverage License/Permit Applications					
1 <i>Process Applications within 14 Days</i>					
1 LICENSING AND INVESTIGATION	1,815,344	1,928,151	1,950,504	2,325,586	2,321,911
TOTAL, GOAL 2	\$1,815,344	\$1,928,151	\$1,950,504	\$2,325,586	\$2,321,911
3 Ensure Compliance with Fees & Taxes					
1 <i>Ensure Compliance with Alcoholic Beverage Code</i>					
1 COMPLIANCE MONITORING	2,489,508	3,828,843	4,193,026	2,711,058	2,709,182
2 <i>Ensure Maximum Compliance with Importation Laws at Ports of Entry</i>					
1 PORTS OF ENTRY	2,901,322	3,145,097	3,343,359	3,216,830	3,189,514
TOTAL, GOAL 3	\$5,390,830	\$6,973,940	\$7,536,385	\$5,927,888	\$5,898,696
4 Indirect Administration					
1 <i>Indirect Administration</i>					
1 CENTRAL ADMINISTRATION	1,484,790	1,725,746	1,561,469	1,472,003	1,471,935
2 INFORMATION RESOURCES	1,322,990	2,705,379	2,741,210	1,170,687	1,169,904

2.A. SUMMARY OF BASE REQUEST BY STRATEGY
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Agency code: **458** Agency name: **Alcoholic Beverage Commission**

Goal / Objective / STRATEGY	Exp 2003	Est 2004	Bud 2005	Req 2006	Req 2007
3 OTHER SUPPORT SERVICES	602,188	484,873	546,115	512,624	512,267
TOTAL, GOAL 4	\$3,409,968	\$4,915,998	\$4,848,794	\$3,155,314	\$3,154,106
TOTAL, AGENCY STRATEGY REQUEST	\$24,973,544	\$29,850,609	\$30,319,374	\$27,329,791	\$27,351,290
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$24,973,544	\$29,850,609	\$30,319,374	\$27,329,791	\$27,351,290
METHOD OF FINANCING:					
1 General Revenue Fund					
444 Criminal Justice Grants	\$24,543,533	\$29,024,265	\$29,485,210	\$26,814,791	\$26,836,290
555 Federal Funds	\$184,567	\$251,061	\$120,000	\$120,000	\$120,000
666 Appropriated Receipts	\$233,074	\$536,367	\$679,164	\$360,000	\$360,000
777 Interagency Contracts	\$4,677	\$14,000	\$5,000	\$5,000	\$5,000
TOTAL, METHOD OF FINANCING	\$24,973,544	\$29,850,609	\$30,319,374	\$27,329,791	\$27,351,290

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

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METHOD OF FINANCING	Exp 2003	Est 2004	Bud 2005	Req 2006	Req 2007
<u>GENERAL REVENUE</u>					
1 General Revenue Fund					
REGULAR APPROPRIATIONS	\$24,899,578	\$29,750,828	\$29,038,533	\$26,814,791	\$26,836,290
RIDER APPROPRIATION					
Rider 11, Contingency for New Bridges (2002-03 GAA)	\$240,818	\$0	\$0	\$0	\$0
Rider 12, Contingency for Licensing FTE's (2002-03 GAA)	\$93,248	\$0	\$0	\$0	\$0
Art IX, Section 10.35 Contingency Rider HB 892 (2002-03 GAA)	\$250,000	\$0	\$0	\$0	\$0
Rider 12, Conting Rider-Ports of Entry (Donna Bridge) (2004-05 GAA)	\$0	\$0	\$106,427	\$0	\$0
Rider 13, Conting Appropriation: Compliance Increase (2004-05 GAA)	\$0	\$0	\$269,998	\$0	\$0
Rider 11, Conting Rider-Ports of Entry (Anzalduas Bridge (2004-05 GAA)	\$0	\$0	\$132,685	\$0	\$0
Art IX, Section 6.17 (j)-UB Capital Transfer Authority (2002-03 GAA)	\$327,882	\$0	\$0	\$0	\$0
TRANSFERS					
Art IX, Section 10.23 Workers Compensation	\$144,766	\$0	\$0	\$0	\$0
Art IX, Section 10.12, Approp for Salary Increase (2002-03 GAA)	\$738,922	\$0	\$0	\$0	\$0
Art IX, Section 10.35 Contingency Rider HB 892, 77th Legislature	\$(250,000)	\$0	\$0	\$0	\$0
Art IX, Section 10.36 Revser Auction Reduction (2002-03 GAA)	\$(10,425)	\$0	\$0	\$0	\$0
Art IX, Section 9.03 Lost Property (2002-03 GAA)	\$(195)	\$0	\$0	\$0	\$0
SB 855 Sec. 5.55 (a) 78R - Wine Marketing Assistance Program	\$0	\$(250,000)	\$(250,000)	\$0	\$0
Art IX, Section 12.03 Net Savings Retirement Incentive Trans to Comp	\$0	\$(182,970)	\$(430,730)	\$0	\$0
HB 7 (78th Legislature, RS, 2003) Appropriation Reduction	\$(1,875,725)	\$0	\$0	\$0	\$0

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
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Agency code: 458		Agency name: Alcoholic Beverage Commission			
METHOD OF FINANCING	Exp 2003	Est 2004	Bud 2005	Req 2006	Req 2007
Art IX, Section 12.03 Additional Appropriation Retirement Incentive	\$0	\$16,275	\$15,176	\$0	\$0
Art IX, Section 10.12(l)(1), Approp for Longevity Inc (2002-03 GAA)	\$92,740	\$0	\$0	\$0	\$0
<i>SUPPLMNTL, SPECIAL APPRO.</i>					
HB 24 Section 3, 3rd Called Session 78th Legislature	\$0	\$250,000	\$250,000	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
	\$(108,076)	\$(156,747)	\$(50,000)	\$0	\$0
<i>UNEXPENDED BALANCES AUTH</i>					
Art IX, Sec 6.17, Limitation on Expenditures-Capital (2004-05 GAA)	\$0	\$(403,121)	\$403,121	\$0	\$0
TOTAL, General Revenue Fund	\$24,543,533	\$29,024,265	\$29,485,210	\$26,814,791	\$26,836,290
TOTAL, ALL GENERAL REVENUE	\$24,543,533	\$29,024,265	\$29,485,210	\$26,814,791	\$26,836,290
<u>FEDERAL FUNDS</u>					
<u>555</u> Federal Funds					
<i>REGULAR APPROPRIATIONS</i>					
	\$0	\$0	\$0	\$360,000	\$360,000
<i>RIDER APPROPRIATION</i>					
Art IX, Section 8.02 Federal Funds/Block Grants (2002-03 GAA)	\$233,074	\$0	\$0	\$0	\$0
Art IX, Section 8.02 Federal Funds/Block Grants (2004-05 GAA)	\$0	\$536,367	\$679,164	\$0	\$0
TOTAL, Federal Funds	\$233,074	\$536,367	\$679,164	\$360,000	\$360,000
TOTAL, ALL FEDERAL FUNDS	\$233,074	\$536,367	\$679,164	\$360,000	\$360,000

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
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Agency code: **458** Agency name: **Alcoholic Beverage Commission**

METHOD OF FINANCING	Exp 2003	Est 2004	Bud 2005	Req 2006	Req 2007
<u>OTHER FUNDS</u>					
444 Criminal Justice Grants					
<i>REGULAR APPROPRIATIONS</i>					
	\$0	\$202,499	\$202,498	\$120,000	\$120,000
<i>RIDER APPROPRIATION</i>					
Art IX, Sec. 8.03 Reimb. & Payments (2004-05 GAA)	\$0	\$116,122	\$120,000	\$0	\$0
Art IX, Sec. 8.03 Reimb. & Payments (2002-03 GAA)	\$184,567	\$0	\$0	\$0	\$0
Art IX, Sec. 8.03 Reimb. & Payments (2004-05 GAA)	\$0	\$(67,560)	\$(202,498)	\$0	\$0
TOTAL, Criminal Justice Grants	\$184,567	\$251,061	\$120,000	\$120,000	\$120,000
666 Appropriated Receipts					
<i>REGULAR APPROPRIATIONS</i>					
	\$94,000	\$0	\$0	\$5,000	\$5,000
<i>RIDER APPROPRIATION</i>					
Art IX, Sec. 8.03 Reimb & Payments (2002-03 GAA)	\$(31,884)	\$0	\$0	\$0	\$0
Art IX, Sec. 8.03 Reimb & Payments (2004-05 GAA)	\$0	\$14,000	\$5,000	\$0	\$0
<i>TRANSFERS</i>					
HB 7, Sec. 20 Reduction in Approp: Sale of Surplus Prop(78th RS, 2003)	\$(57,439)	\$0	\$0	\$0	\$0
TOTAL, Appropriated Receipts	\$4,677	\$14,000	\$5,000	\$5,000	\$5,000
777 Interagency Contracts					
<i>REGULAR APPROPRIATIONS</i>					

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
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DATE: **8/13/2004**
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Agency code: 458		Agency name: Alcoholic Beverage Commission			
METHOD OF FINANCING	Exp 2003	Est 2004	Bud 2005	Req 2006	Req 2007
	\$362,464	\$362,464	\$362,464	\$30,000	\$30,000
<i>RIDER APPROPRIATION</i>					
Art IX, Sec. 8.03 Reimb and Payments (2002-03 GAA)					
	\$(170,204)	\$0	\$0	\$0	\$0
Art IX, Sec. 8.03 Reimb and Payments (2002-03 GAA)					
	\$(184,567)	\$0	\$0	\$0	\$0
Art IX, Sec. 8.03 Reimb and Payments (2004-05 GAA)					
	\$0	\$(221,426)	\$(262,464)	\$0	\$0
Art IX, Sec. 8.03 Reimb and Payments (2004-05 GAA)					
	\$0	\$(116,122)	\$(70,000)	\$0	\$0
TOTAL, Interagency Contracts	\$7,693	\$24,916	\$30,000	\$30,000	\$30,000
TOTAL, ALL OTHER FUNDS	\$196,937	\$289,977	\$155,000	\$155,000	\$155,000
GRAND TOTAL	\$24,973,544	\$29,850,609	\$30,319,374	\$27,329,791	\$27,351,290
 <u>FULL-TIME-EQUIVALENT POSITIONS</u>					
<i>REGULAR APPROPRIATIONS</i>					
	508.2	579.5	579.5	545.3	545.3
TOTAL, ADJUSTED FTES	508.2	579.5	579.5	545.3	545.3

2.C. SUMMARY OF BASE REQUEST BY OBJECT OF EXPENSE
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DATE: **8/13/2004**
 TIME: **4:09:15PM**

Agency code: 458		Agency name: Alcoholic Beverage Commission				
OBJECT OF EXPENSE		Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
1001	SALARIES AND WAGES	\$19,024,514	\$19,450,114	\$21,810,316	\$20,801,044	\$20,870,071
1002	OTHER PERSONNEL COSTS	\$953,769	\$1,115,174	\$667,137	\$659,577	\$657,057
2001	PROFESSIONAL FEES AND SERVICES	\$168,627	\$595,416	\$269,410	\$110,500	\$110,500
2002	FUELS AND LUBRICANTS	\$243,984	\$334,905	\$329,807	\$297,167	\$297,167
2003	CONSUMABLE SUPPLIES	\$156,667	\$154,212	\$175,273	\$159,620	\$159,620
2004	UTILITIES	\$200,981	\$320,431	\$215,536	\$189,494	\$194,879
2005	TRAVEL	\$257,388	\$354,199	\$408,035	\$340,909	\$338,909
2006	RENT - BUILDING	\$1,580,539	\$1,610,789	\$1,605,381	\$1,647,621	\$1,647,621
2007	RENT - MACHINE AND OTHER	\$521,424	\$104,516	\$97,154	\$94,814	\$94,814
2009	OTHER OPERATING EXPENSE	\$1,658,923	\$2,350,182	\$1,738,901	\$1,614,855	\$1,587,962
4000	GRANTS	\$37,744	\$437,300	\$679,164	\$360,000	\$360,000
5000	CAPITAL EXPENDITURES	\$168,984	\$3,023,371	\$2,323,260	\$1,054,190	\$1,032,690
OOE Total (Excluding Riders)		\$24,973,544	\$29,850,609	\$30,319,374	\$27,329,791	\$27,351,290
OOE Total (Riders)						
Grand Total		\$24,973,544	\$29,850,609	\$30,319,374	\$27,329,791	\$27,351,290

2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

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Date : **8/13/2004**
Time: **4:10:00PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

Goal/ Objective / Outcome	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
1 Promote Health/Safety/Welfare of Public by Voluntary Compliance					
1 <i>Detect/Prevent Law Violations</i>					
KEY 1 Percentage of Licensed Establishments Inspected Annually	83.20%	78.50%	78.50%	71.10%	69.70%
2 % of Administrative Cases Resulting in Suspension or Cancellation	97.00%	98.00%	98.00%	98.00%	98.00%
3 % Agency Contacts Rating Enforcement Actions As Satisfactory or Better	82.30%	82.00%	82.00%	82.50%	82.50%
4 Percentage of Complaint Investigations Closed within 60 Days	91.40%	88.00%	88.00%	81.50%	79.90%
2 Process/Issue Alcoholic Beverage License/Permit Applications					
1 <i>Process Applications within 14 Days</i>					
KEY 1 % of Original License/Permit Applications Processed within 14 Days	99.50%	99.00%	99.00%	99.00%	99.00%
2 % of Agency Clients Expressing Satisfaction with Licensing Process	95.20%	92.00%	92.00%	92.00%	92.00%
3 Ensure Compliance with Fees & Taxes					
1 <i>Ensure Compliance with Alcoholic Beverage Code</i>					
KEY 1 % of Inspections Resulting in Administrative or Compliance Actions	30.40%	34.00%	25.50%	25.50%	25.50%
2 Percent of Report Analyses Resulting in Admin or Compliance Actions	22.90%	24.20%	24.20%	24.20%	24.20%
3 % Contacts Expressing Satisfaction with Compliance Functions	98.10%	97.00%	97.00%	97.00%	97.00%
2 <i>Ensure Maximum Compliance with Importation Laws at Ports of Entry</i>					
1 Revenue As a Percent of Expenses	113.10%	100.80%	102.80%	100.50%	101.30%
2 Illegal Containers Identified As a % of Total Containers Imported	0.24%	0.21%	0.21%	0.21%	0.21%

2.E. SUMMARY OF EXCEPTIONAL ITEMS REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME : 4:10:55PM

Agency code: 458

Agency name: **Alcoholic Beverage Commission**

Priority	Item	2006			2007			Biennium		
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	
1	Return Reduction to Base	\$ 1,626,861	\$ 1,626,861	34.2	\$ 1,646,292	\$ 1,646,292	34.2	\$ 3,273,153	\$ 3,273,153	
2	Compliance Officer Reclassification	\$ 115,230	\$ 115,230		\$ 180,030	\$ 180,030		\$ 295,260	\$ 295,260	
3	Career Enhancement for Tenured Emp.	\$ 386,192	\$ 386,192		\$ 386,192	\$ 386,192		\$ 772,384	\$ 772,384	
4	Bridge Rider-Donna	\$ 154,094	\$ 154,094	4.0	\$ 139,872	\$ 139,872	4.0	\$ 293,966	\$ 293,966	
5	Bridge Rider- Anzalduas	\$ 133,525	\$ 133,525	4.0	\$ 119,803	\$ 119,803	4.0	\$ 253,328	\$ 253,328	
6	Automation-Tax Collections	\$ 80,000	\$ 80,000		\$ 25,000	\$ 25,000		\$ 105,000	\$ 105,000	
7	Excise Tax Automation	\$ 250,000	\$ 250,000		\$ 75,000	\$ 75,000		\$ 325,000	\$ 325,000	
Total, Exceptional Items Request		\$ 2,745,902	\$ 2,745,902	42.2	\$ 2,572,189	\$ 2,572,189	42.2	\$ 5,318,091	\$ 5,318,091	
Method of Financing										
	General Revenue	\$ 2,745,902	\$ 2,745,902		\$ 2,572,189	\$ 2,572,189		\$ 5,318,091	\$ 5,318,091	
	General Revenue - Dedicated									
	Federal Funds									
	Other Funds									
		\$ 2,745,902	\$ 2,745,902		\$ 2,572,189	\$ 2,572,189		\$ 5,318,091	\$ 5,318,091	
Full Time Equivalent Positions				42.2				42.2		

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY

79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/13/2004

TIME : 4:11:29PM

Agency code: 458 Agency name: Alcoholic Beverage Commission

Goal/Objective/STRATEGY	Base 2006	Base 2007	Exceptional 2006	Exceptional 2007	Total Request 2006	Total Request 2007
1 Promote Health/Safety/Welfare of Public by Voluntary Compliance						
1 Detect/Prevent Law Violations						
1 ENFORCEMENT	\$ 15,384,565	\$ 15,439,451	\$ 985,795	\$ 999,170	\$ 16,370,360	\$ 16,438,621
2 EDUCATION PROGRAMS	536,438	537,126	32,546	32,950	568,984	570,076
TOTAL, GOAL 1	\$ 15,921,003	\$ 15,976,577	\$ 1,018,341	\$ 1,032,120	\$ 16,939,344	\$ 17,008,697
2 Process/Issue Alcoholic Beverage License/Permit Applications						
1 Process Applications within 14 Days						
1 LICENSING AND INVESTIGATION	2,325,586	2,321,911	239,215	240,555	2,564,801	2,562,466
TOTAL, GOAL 2	\$ 2,325,586	\$ 2,321,911	\$ 239,215	\$ 240,555	\$ 2,564,801	\$ 2,562,466
3 Ensure Compliance with Fees & Taxes						
1 Ensure Compliance with Alcoholic Beverage Code						
1 COMPLIANCE MONITORING	2,711,058	2,709,182	561,991	453,500	3,273,049	3,162,682
2 Ensure Maximum Compliance with Importation Laws at Ports of Entry						
1 PORTS OF ENTRY	3,216,830	3,189,514	664,521	582,074	3,881,351	3,771,588
TOTAL, GOAL 3	\$ 5,927,888	\$ 5,898,696	\$ 1,226,512	\$ 1,035,574	\$ 7,154,400	\$ 6,934,270

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY

79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/13/2004

TIME : 4:11:42PM

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

Goal/Objective/STRATEGY	Base 2006	Base 2007	Exceptional 2006	Exceptional 2007	Total Request 2006	Total Request 2007
4 Indirect Administration						
1 <i>Indirect Administration</i>						
1 CENTRAL ADMINISTRATION	\$ 1,472,003	\$ 1,471,935	\$ 116,988	\$ 118,030	\$ 1,588,991	\$ 1,589,965
2 INFORMATION RESOURCES	1,170,687	1,169,904	109,065	109,806	1,279,752	1,279,710
3 OTHER SUPPORT SERVICES	512,624	512,267	35,781	36,104	548,405	548,371
TOTAL, GOAL 4	\$ 3,155,314	\$ 3,154,106	\$ 261,834	\$ 263,940	\$ 3,417,148	\$ 3,418,046
TOTAL, AGENCY STRATEGY REQUEST	\$ 27,329,791	\$ 27,351,290	\$ 2,745,902	\$ 2,572,189	\$ 30,075,693	\$ 29,923,479
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$ 27,329,791	\$ 27,351,290	\$ 2,745,902	\$ 2,572,189	\$ 30,075,693	\$ 29,923,479

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY

79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/13/2004

TIME : 4:11:42PM

Agency code: 458 Agency name: Alcoholic Beverage Commission

Goal/Objective/STRATEGY	Base 2006	Base 2007	Exceptional 2006	Exceptional 2007	Total Request 2006	Total Request 2007
General Revenue Funds:						
1 GENERAL REVENUE FUND	\$ 26,814,791	\$ 26,836,290	\$ 2,745,902	\$ 2,572,189	\$ 29,560,693	\$ 29,408,479
	\$ 26,814,791	\$ 26,836,290	\$ 2,745,902	\$ 2,572,189	\$ 29,560,693	\$ 29,408,479
Federal Funds:						
555 FEDERAL FUNDS	360,000	360,000	0	0	360,000	360,000
	\$ 360,000	\$ 360,000	\$ 0	\$ 0	\$ 360,000	\$ 360,000
Other Funds:						
444 CRIMINAL JUSTICE GRANTS	120,000	120,000	0	0	120,000	120,000
666 APPROPRIATED RECEIPTS	5,000	5,000	0	0	5,000	5,000
777 INTERAGENCY CONTRACTS	30,000	30,000	0	0	30,000	30,000
	\$ 155,000	\$ 155,000	\$ 0	\$ 0	\$ 155,000	\$ 155,000
TOTAL, METHOD OF FINANCING	\$ 27,329,791	\$ 27,351,290	\$ 2,745,902	\$ 2,572,189	\$ 30,075,693	\$ 29,923,479
FULL TIME EQUIVALENT POSITIONS	545.3	545.3	42.2	42.2	587.5	587.5

2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

Date : **8/13/2004**

79th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Time: **4:12:19PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

Goal/ Objective / Outcome	BL 2006	BL 2007	Excp 2006	Excp 2007	Total Request 2006	Total Request 2007
1 Promote Health/Safety/Welfare of Public by Voluntary Compliance						
1 <i>Detect/Prevent Law Violations</i>						
KEY 1 Percentage of Licensed Establishments Inspected Annually	71.10%	69.70 %	78.50 %	78.50%	78.50%	78.50%
2 % of Administrative Cases Resulting in Suspension or Cancellation	98.00%	98.00 %	98.00 %	98.00%	98.00%	98.00%
3 % Agency Contacts Rating Enforcement Actions As Satisfactory or Better	82.50%	82.50 %	82.50 %	82.50%	82.50%	82.50%
4 Percentage of Complaint Investigations Closed within 60 Days	81.50%	79.90 %	90.00 %	90.00%	90.00%	90.00%
2 Process/Issue Alcoholic Beverage License/Permit Applications						
1 <i>Process Applications within 14 Days</i>						
KEY 1 % of Original License/Permit Applications Processed within 14 Days	99.00%	99.00 %	99.00 %	99.00%	99.00%	99.00%
2 % of Agency Clients Expressing Satisfaction with Licensing Process	92.00%	92.00 %	92.00 %	92.00%	92.00%	92.00%
3 Ensure Compliance with Fees & Taxes						
1 <i>Ensure Compliance with Alcoholic Beverage Code</i>						
KEY 1 % of Inspections Resulting in Administrative or Compliance Actions	25.50%	25.50 %	25.50 %	25.50%	25.50%	25.50%
2 Percent of Report Analyses Resulting in Admin or Compliance Actions	24.20%	24.20 %	24.20 %	24.20%	24.20%	24.20%
3 % Contacts Expressing Satisfaction with Compliance Functions	97.00%	97.00 %	97.00 %	97.00%	97.00%	97.00%
2 <i>Ensure Maximum Compliance with Importation Laws at Ports of Entry</i>						
1 Revenue As a Percent of Expenses	100.50%	101.30 %	95.40 %	97.70%	95.40%	97.70%
2 Illegal Containers Identified As a % of Total Containers Imported	0.21%	0.21 %	0.21 %	0.21%	0.21%	0.21%

3.A. STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 5:03:59PM

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 1 Promote Health/Safety/Welfare of Public by Voluntary Compliance
 OBJECTIVE: 1 Detect/Prevent Law Violations
 STRATEGY: 1 Enforcement

Statewide Goal/Benchmark: 4 5
 Service Categories:
 Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
Output Measures:						
1	Number of Inspections Made	111,181.00	113,500.00	106,000.00	99,572.00	97,603.00
Efficiency Measures:						
1	Average Cost Per Inspection	133.54	152.18	153.87	161.31	165.10
Explanatory/Input Measures:						
1	Number of Administrative Cases Settled	2,483.00	2,233.00	2,500.00	2,263.00	2,218.00
2	Number of Criminal Violations Found during Inspections	19,534.00	19,935.00	22,979.00	18,063.00	17,706.00
3	Number of Licensed Establishments Subject to Inspection	38,504.00	38,866.00	39,000.00	39,565.00	39,605.00
4	Number of Investigations Opened	5,719.00	5,585.00	5,800.00	5,700.00	5,700.00
5	Number of Administrative Violations Found during Inspections	11,074.00	11,431.00	11,000.00	9,954.00	9,939.00
6	Number of Criminal Cases Filed	17,389.00	17,782.00	20,278.00	16,112.00	15,793.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$10,858,013	\$10,592,296	\$11,420,305	\$11,614,281	\$11,684,279
1002	OTHER PERSONNEL COSTS	\$438,745	\$609,342	\$368,513	\$374,775	\$371,163
2001	PROFESSIONAL FEES AND SERVICES	\$44,940	\$152,870	\$36,146	\$52,576	\$52,576
2002	FUELS AND LUBRICANTS	\$224,406	\$301,120	\$279,603	\$277,297	\$277,297
2003	CONSUMABLE SUPPLIES	\$85,071	\$75,785	\$72,491	\$68,020	\$68,020
2004	UTILITIES	\$101,501	\$132,463	\$76,159	\$76,039	\$84,164
2005	TRAVEL	\$127,258	\$185,246	\$138,875	\$159,575	\$159,575
2006	RENT - BUILDING	\$758,183	\$740,008	\$682,736	\$707,693	\$707,693
2007	RENT - MACHINE AND OTHER	\$120,279	\$60,756	\$59,032	\$58,969	\$58,969
2009	OTHER OPERATING EXPENSE	\$938,443	\$1,089,806	\$787,483	\$810,384	\$812,259
4000	GRANTS	\$37,744	\$437,300	\$679,164	\$360,000	\$360,000
5000	CAPITAL EXPENDITURES	\$78,546	\$1,106,611	\$825,595	\$824,956	\$803,456

3.A. STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 5:04:04PM

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 1 Promote Health/Safety/Welfare of Public by Voluntary Compliance
 OBJECTIVE: 1 Detect/Prevent Law Violations
 STRATEGY: 1 Enforcement

Statewide Goal/Benchmark: 4 5
 Service Categories:
 Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
TOTAL, OBJECT OF EXPENSE		\$13,813,129	\$15,483,603	\$15,426,102	\$15,384,565	\$15,439,451
Method of Financing:						
1	GENERAL REVENUE FUND	\$13,492,813	\$14,810,716	\$14,591,938	\$14,869,565	\$14,924,451
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$13,492,813	\$14,810,716	\$14,591,938	\$14,869,565	\$14,924,451
Method of Financing:						
555 FEDERAL FUNDS						
16.727.000	COMBATING UNDERAGE DRINK	\$232,038	\$535,815	\$679,164	\$360,000	\$360,000
20.600.000	State and Community Highw	\$1,036	\$552	\$0	\$0	\$0
CFDA Subtotal, Fund	555	\$233,074	\$536,367	\$679,164	\$360,000	\$360,000
SUBTOTAL, MOF (FEDERAL FUNDS)		\$233,074	\$536,367	\$679,164	\$360,000	\$360,000
Method of Financing:						
444	CRIMINAL JUSTICE GRANTS	\$77,463	\$97,604	\$120,000	\$120,000	\$120,000
666	APPROPRIATED RECEIPTS	\$2,086	\$14,000	\$5,000	\$5,000	\$5,000
777	INTERAGENCY CONTRACTS	\$7,693	\$24,916	\$30,000	\$30,000	\$30,000
SUBTOTAL, MOF (OTHER FUNDS)		\$87,242	\$136,520	\$155,000	\$155,000	\$155,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$15,384,565	\$15,439,451
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$13,813,129	\$15,483,603	\$15,426,102	\$15,384,565	\$15,439,451
FULL TIME EQUIVALENT POSITIONS:		260.0	275.5	275.5	277.0	277.0
STRATEGY DESCRIPTION AND JUSTIFICATION:						

3.A. STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 5:04:04PM

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 1 Promote Health/Safety/Welfare of Public by Voluntary Compliance

Statewide Goal/Benchmark: 4 5

OBJECTIVE: 1 Detect/Prevent Law Violations

Service Categories:

STRATEGY: 1 Enforcement

Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
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The Texas Alcoholic Beverage Code directs the commission to investigate violations of the code and of other laws relating to alcoholic beverages and to “supervise and regulate licensees and permittees in their places of business in matters affecting the public.” It also empowers the agency to commission as state police officers “as many inspectors and representatives as are necessary” to enforce the Alcoholic Beverage Code and related laws. Strategy 01-01-01 directs and funds the administrative and criminal law enforcement activities needed to fulfill these mandates. With this strategy, the commission seeks to protect public health and safety and to ensure industry compliance by using a broad array of law enforcement methods.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Externals factors influencing this strategy include alcohol consumption patterns, public attitudes concerning alcohol abuse and its consequences, population growth, the health of the state’s economy, and the level of legislative appropriation.

Internal factors influencing this strategy include staffing levels and staff allocation; employee morale; employee knowledge, skills, and ability levels; the quality and quantity of existing equipment; and the quality of agency planning and leadership.

3.A. STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 5:04:04PM

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 1 Promote Health/Safety/Welfare of Public by Voluntary Compliance
 OBJECTIVE: 1 Detect/Prevent Law Violations
 STRATEGY: 2 Deter Code Violations through Education

Statewide Goal/Benchmark: 4 0
 Service Categories:
 Service: NA Income: NA Age: NA

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
Output Measures:						
1	Number of Persons Instructed by the Agency	410,017.00	337,752.00	245,500.00	226,300.00	221,825.00
2	Number of Youth Instructed by the Agency	305,236.00	283,377.00	201,997.00	189,865.00	186,110.00
Efficiency Measures:						
1	Average Cost Per Person Instructed by Agency	4.24	7.30	7.39	7.89	8.07
Objects of Expense:						
1001	SALARIES AND WAGES	\$433,133	\$421,783	\$454,971	\$440,654	\$440,250
1002	OTHER PERSONNEL COSTS	\$2,655	\$3,687	\$2,230	\$2,184	\$3,276
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$0	\$0	\$0	\$0
2002	FUELS AND LUBRICANTS	\$17,016	\$22,832	\$21,201	\$18,265	\$18,265
2003	CONSUMABLE SUPPLIES	\$6,582	\$5,864	\$5,609	\$4,810	\$4,810
2004	UTILITIES	\$13,901	\$18,141	\$10,430	\$8,125	\$8,125
2005	TRAVEL	\$7,903	\$11,505	\$8,625	\$8,645	\$8,645
2006	RENT - BUILDING	\$14,211	\$13,870	\$12,797	\$12,480	\$12,480
2007	RENT - MACHINE AND OTHER	\$4,578	\$2,313	\$2,247	\$2,145	\$2,145
2009	OTHER OPERATING EXPENSE	\$28,694	\$33,322	\$24,078	\$23,530	\$23,530
4000	GRANTS	\$0	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$15,600	\$15,600	\$15,401	\$15,600	\$15,600
TOTAL, OBJECT OF EXPENSE		\$544,273	\$548,917	\$557,589	\$536,438	\$537,126
Method of Financing:						
1	GENERAL REVENUE FUND	\$544,273	\$548,917	\$557,589	\$536,438	\$537,126
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$544,273	\$548,917	\$557,589	\$536,438	\$537,126

3.A. STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 5:04:04PM

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL:	1	Promote Health/Safety/Welfare of Public by Voluntary Compliance	Statewide Goal/Benchmark:	4	0
OBJECTIVE:	1	Detect/Prevent Law Violations	Service Categories:		
STRATEGY:	2	Deter Code Violations through Education	Service:	NA	Income: NA Age: NA

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$536,438	\$537,126
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)					\$544,273	\$548,917
FULL TIME EQUIVALENT POSITIONS:					13.0	13.0
STRATEGY DESCRIPTION AND JUSTIFICATION:					13.0	12.0

The leadership and staff of the Texas Alcoholic Beverage Commission believe that members of a well-informed industry and public make better choices and are less likely to violate the state's alcoholic beverage laws. The agency sees public education as a cost-effective means of ensuring compliance with the law and, therefore, as a worthy use of its peace officers' time.

The TABC's Enforcement Division sponsors, participates in, or directly provides a host of informational and educational programs for a number of different audiences. These include Shattered Dreams (high school students); Project SAVE (Stop Alcohol Violations Early) programs for students K-12, retailers, and wholesalers; basic liquor law and alcohol enforcement specialist training for local police officers; and a speakers' bureau for meetings of college, civic, and parents groups. More than a quarter million Texans attend TABC educational presentations each year. All such presentations are made by enforcement agents.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

As with its law enforcement strategy, external factors that impact the TABC's educational strategy include public attitudes concerning alcohol abuse and its consequences, population and economic growth rates, and the level of legislative funding. Other factors are the quality, quantity, and availability of competing educational programs.

Internal factors influencing this strategy include staffing levels and staff allocation; employee morale; employee knowledge, skills, and ability levels; and the quality of agency planning and leadership. Also important are the quality of the programs offered by the agency and the accessibility of targeted audiences, most especially kids in grades 8 – 12.

3.A. STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 5:04:04PM

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 2 Process/Issue Alcoholic Beverage License/Permit Applications

Statewide Goal/Benchmark: 6 2

OBJECTIVE: 1 Process Applications within 14 Days

Service Categories:

STRATEGY: 1 Licensing and Investigation

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
Output Measures:						
1	Number of Applications Processed	107,094.00	112,038.00	108,511.00	87,673.00	88,218.00
2	Number of Licenses/Permits Issued	96,174.00	99,894.00	99,144.00	78,259.00	78,757.00
Efficiency Measures:						
1	Average Cost Per License/Permit Processed	23.32	26.67	27.75	32.93	32.68
Explanatory/Input Measures:						
1	# Alcoholic Beverage Containers/Cigarette Pkgs Confiscated	12,669.00	10,500.00	10,750.00	10,127.00	10,127.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,350,105	\$1,419,602	\$1,459,858	\$1,780,666	\$1,780,531
1002	OTHER PERSONNEL COSTS	\$71,475	\$71,744	\$52,332	\$54,432	\$54,432
2001	PROFESSIONAL FEES AND SERVICES	\$7,029	\$17,735	\$8,764	\$11,384	\$11,384
2002	FUELS AND LUBRICANTS	\$272	\$216	\$50	\$50	\$50
2003	CONSUMABLE SUPPLIES	\$23,368	\$18,412	\$24,275	\$29,515	\$29,515
2004	UTILITIES	\$7,013	\$5,004	\$4,783	\$15,112	\$14,912
2005	TRAVEL	\$3,614	\$8,279	\$9,100	\$17,100	\$15,100
2006	RENT - BUILDING	\$176,764	\$182,654	\$186,157	\$211,157	\$211,157
2007	RENT - MACHINE AND OTHER	\$16,516	\$15,460	\$3,590	\$8,090	\$8,090
2009	OTHER OPERATING EXPENSE	\$151,529	\$174,789	\$182,399	\$171,266	\$169,926
4000	GRANTS	\$0	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$7,659	\$14,256	\$19,196	\$26,814	\$26,814
TOTAL, OBJECT OF EXPENSE		\$1,815,344	\$1,928,151	\$1,950,504	\$2,325,586	\$2,321,911

Method of Financing:

1 GENERAL REVENUE FUND

\$1,722,432 \$1,793,212 \$1,950,504 \$2,325,586 \$2,321,911

3.A. STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 5:04:04PM

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 3 Ensure Compliance with Fees & Taxes

Statewide Goal/Benchmark: 6 2

OBJECTIVE: 1 Ensure Compliance with Alcoholic Beverage Code

Service Categories:

STRATEGY: 1 Conduct Inspections and Monitor Compliance

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
Output Measures:						
1	Number of Inspections, Analyses, and Compliance Activities	86,745.00	84,646.00	85,000.00	81,000.00	81,000.00
2	# Reports Analyzed Regarding Interstate/Intrastate Beverage Distrib	28,628.00	29,209.00	28,080.00	28,080.00	28,080.00
Efficiency Measures:						
1	Average Cost Per Compliance Activity	30.88	40.71	43.77	29.44	29.42
Explanatory/Input Measures:						
1	# of Licensees/Permittees Subject to Compliance Activity	40,125.00	40,500.00	40,500.00	40,500.00	40,500.00
2	Number of Licensees/Permittees Subject to Report Analyzation	2,476.00	2,320.00	2,340.00	2,340.00	2,340.00
3	Number of Compliance and/or Administrative Actions	32,911.00	35,500.00	35,500.00	33,829.00	33,829.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,826,740	\$2,420,899	\$3,234,670	\$2,033,906	\$2,033,826
1002	OTHER PERSONNEL COSTS	\$137,372	\$174,800	\$96,187	\$80,311	\$80,311
2001	PROFESSIONAL FEES AND SERVICES	\$7,742	\$32,090	\$7,035	\$10,232	\$10,232
2002	FUELS AND LUBRICANTS	\$256	\$9,207	\$27,798	\$400	\$400
2003	CONSUMABLE SUPPLIES	\$14,015	\$16,419	\$30,465	\$17,425	\$17,425
2004	UTILITIES	\$20,312	\$45,860	\$58,991	\$28,800	\$28,800
2005	TRAVEL	\$69,233	\$96,619	\$154,635	\$100,080	\$100,080
2006	RENT - BUILDING	\$200,988	\$207,863	\$241,475	\$216,475	\$216,475
2007	RENT - MACHINE AND OTHER	\$34,679	\$10,990	\$16,743	\$9,768	\$9,768
2009	OTHER OPERATING EXPENSE	\$162,096	\$380,022	\$249,663	\$169,292	\$167,496
4000	GRANTS	\$0	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$16,075	\$434,074	\$75,364	\$44,369	\$44,369

3.A. STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 5:04:04PM

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 3 Ensure Compliance with Fees & Taxes Statewide Goal/Benchmark: 6 2
 OBJECTIVE: 1 Ensure Compliance with Alcoholic Beverage Code Service Categories:
 STRATEGY: 1 Conduct Inspections and Monitor Compliance Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
TOTAL, OBJECT OF EXPENSE		\$2,489,508	\$3,828,843	\$4,193,026	\$2,711,058	\$2,709,182
Method of Financing:						
1	GENERAL REVENUE FUND	\$2,488,554	\$3,828,843	\$4,193,026	\$2,711,058	\$2,709,182
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,488,554	\$3,828,843	\$4,193,026	\$2,711,058	\$2,709,182
Method of Financing:						
444	CRIMINAL JUSTICE GRANTS	\$0	\$0	\$0	\$0	\$0
666	APPROPRIATED RECEIPTS	\$954	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$954	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,711,058	\$2,709,182
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,489,508	\$3,828,843	\$4,193,026	\$2,711,058	\$2,709,182
FULL TIME EQUIVALENT POSITIONS:		49.0	81.2	81.2	55.2	55.2

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Texas Alcoholic Beverage Commission plays a role in the initial licensing process through inspections, fee analysis and marketing practice reviews. Personnel receive and process monthly excise tax reports and ensure taxes have been paid and that other reporting requirements are in adherence with the statutes of the Alcoholic Beverage Code through an audit oversight function. In addition, field personnel conduct investigative audits, regulatory compliance and other financial reviews in various types of investigations. This strategy continues the compliance monitoring functions at the current services level.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

External factors which may affect this strategy are: 1) any increase in the number of permits & licenses will increase the number of inspections, analyses or compliance activities conducted; 2) a decline in the business climate/economy could increase more compliance administrative actions issued; and 3) increased public awareness of drinking patterns/abuse may affect alcoholic beverage sales and excise tax payments received. Additionally, half of the auditing staff is eligible to retire at this time and are beginning to receive retirement letters. The hiring of new employees will decrease performance measures due to the training period required before becoming fully productive employees.

3.A. STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 5:04:04PM

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 4 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Central Administration

Statewide Goal/Benchmark: 7 6

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,029,222	\$1,003,387	\$1,196,055	\$1,104,390	\$1,104,376
1002	OTHER PERSONNEL COSTS	\$99,025	\$87,578	\$28,441	\$28,441	\$28,441
2001	PROFESSIONAL FEES AND SERVICES	\$68,949	\$141,579	\$5,349	\$6,644	\$6,644
2002	FUELS AND LUBRICANTS	\$103	\$210	\$110	\$110	\$110
2003	CONSUMABLE SUPPLIES	\$5,478	\$14,737	\$15,000	\$15,000	\$15,000
2004	UTILITIES	\$10,523	\$11,563	\$8,826	\$8,826	\$8,826
2005	TRAVEL	\$21,379	\$19,952	\$22,200	\$25,394	\$25,394
2006	RENT - BUILDING	\$148,403	\$175,621	\$174,377	\$174,377	\$174,377
2007	RENT - MACHINE AND OTHER	\$16,851	\$6,332	\$5,317	\$5,317	\$5,317
2009	OTHER OPERATING EXPENSE	\$79,067	\$238,607	\$87,502	\$85,764	\$85,710
5000	CAPITAL EXPENDITURES	\$5,790	\$26,180	\$18,292	\$17,740	\$17,740
TOTAL, OBJECT OF EXPENSE		\$1,484,790	\$1,725,746	\$1,561,469	\$1,472,003	\$1,471,935
Method of Financing:						
1	GENERAL REVENUE FUND	\$1,484,790	\$1,725,746	\$1,561,469	\$1,472,003	\$1,471,935
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,484,790	\$1,725,746	\$1,561,469	\$1,472,003	\$1,471,935
Method of Financing:						
666	APPROPRIATED RECEIPTS	\$0	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,472,003	\$1,471,935
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,484,790	\$1,725,746	\$1,561,469	\$1,472,003	\$1,471,935
FULL TIME EQUIVALENT POSITIONS:		21.9	25.0	25.0	22.3	22.3

3.A. STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 5:04:04PM

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 4 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Statewide Goal/Benchmark: 7 6

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
Objects of Expense:						
1001	SALARIES AND WAGES	\$617,768	\$684,403	\$694,780	\$658,734	\$658,726
1002	OTHER PERSONNEL COSTS	\$56,650	\$24,539	\$20,135	\$20,135	\$20,135
2001	PROFESSIONAL FEES AND SERVICES	\$2,264	\$175,300	\$210,691	\$27,592	\$27,592
2002	FUELS AND LUBRICANTS	\$89	\$95	\$45	\$45	\$45
2003	CONSUMABLE SUPPLIES	\$8,942	\$9,027	\$10,000	\$10,000	\$10,000
2004	UTILITIES	\$14,066	\$73,761	\$19,268	\$19,268	\$19,268
2005	TRAVEL	\$1,879	\$2,041	\$42,300	\$1,300	\$1,300
2006	RENT - BUILDING	\$106,437	\$108,013	\$107,699	\$107,699	\$107,699
2007	RENT - MACHINE AND OTHER	\$311,648	\$1,613	\$1,500	\$1,500	\$1,500
2009	OTHER OPERATING EXPENSE	\$170,291	\$289,469	\$292,586	\$221,793	\$221,018
4000	GRANTS	\$0	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$32,956	\$1,337,118	\$1,342,206	\$102,621	\$102,621
TOTAL, OBJECT OF EXPENSE		\$1,322,990	\$2,705,379	\$2,741,210	\$1,170,687	\$1,169,904
Method of Financing:						
1	GENERAL REVENUE FUND	\$1,308,104	\$2,686,861	\$2,741,210	\$1,170,687	\$1,169,904
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,308,104	\$2,686,861	\$2,741,210	\$1,170,687	\$1,169,904
Method of Financing:						
444	CRIMINAL JUSTICE GRANTS	\$14,886	\$18,518	\$0	\$0	\$0
666	APPROPRIATED RECEIPTS	\$0	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$14,886	\$18,518	\$0	\$0	\$0

3.A. STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 5:04:04PM

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 4 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Statewide Goal/Benchmark: 7 6
 Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
Objects of Expense:						
1001	SALARIES AND WAGES	\$414,453	\$291,262	\$415,415	\$382,422	\$382,092
1002	OTHER PERSONNEL COSTS	\$62,534	\$41,757	\$13,650	\$13,650	\$13,650
2001	PROFESSIONAL FEES AND SERVICES	\$2,021	\$16,668	\$1,425	\$2,072	\$2,072
2002	FUELS AND LUBRICANTS	\$1,668	\$969	\$1,000	\$1,000	\$1,000
2003	CONSUMABLE SUPPLIES	\$4,609	\$4,064	\$6,300	\$6,300	\$6,300
2004	UTILITIES	\$3,097	\$3,638	\$2,811	\$2,811	\$2,811
2005	TRAVEL	\$322	\$1,294	\$500	\$500	\$500
2006	RENT - BUILDING	\$71,194	\$73,991	\$68,340	\$68,340	\$68,340
2007	RENT - MACHINE AND OTHER	\$8,209	\$4,161	\$2,659	\$2,659	\$2,659
2009	OTHER OPERATING EXPENSE	\$29,711	\$25,525	\$24,238	\$23,369	\$23,342
5000	CAPITAL EXPENDITURES	\$4,370	\$21,544	\$9,777	\$9,501	\$9,501
TOTAL, OBJECT OF EXPENSE		\$602,188	\$484,873	\$546,115	\$512,624	\$512,267
Method of Financing:						
1	GENERAL REVENUE FUND	\$601,245	\$484,873	\$546,115	\$512,624	\$512,267
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$601,245	\$484,873	\$546,115	\$512,624	\$512,267
Method of Financing:						
666	APPROPRIATED RECEIPTS	\$943	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$943	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$512,624	\$512,267
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$602,188	\$484,873	\$546,115	\$512,624	\$512,267
FULL TIME EQUIVALENT POSITIONS:		11.5	12.0	12.0	11.0	11.0

3.A. STRATEGY REQUEST
79th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
TIME: 5:04:04PM

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$24,973,544	\$29,850,609	\$30,319,374	\$27,329,791	\$27,351,290
METHODS OF FINANCE (INCLUDING RIDERS):				\$27,329,791	\$27,351,290
METHODS OF FINANCE (EXCLUDING RIDERS):	\$24,973,544	\$29,850,609	\$30,319,374	\$27,329,791	\$27,351,290
FULL TIME EQUIVALENT POSITIONS:	508.2	579.5	579.5	545.3	545.3

Rider Revisions and Additions Request

Agency Code: 458	Agency Name: Texas Alcoholic Beverage Commission	Prepared By: Charlie Kerr	Date: 08/05/04	Request Level: Base																																																		
Current Rider Number	Page Number in 2004-05 GAA	Proposed Rider Language																																																				
1	V-7	<p>Capital Budget. None of the funds appropriated above may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. Amounts appropriated above and identified in this provision as appropriations either for lease payments to the Master Lease Purchase Program or for items with an (MLPP) notation shall be expended only for the purpose of making lease purchase payments to the Texas Public Finance Authority pursuant to the provisions of Government Code ' 1232.103.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: right;"><u>2004</u></th> <th style="text-align: right;"><u>2006</u></th> <th style="text-align: right;"><u>2005</u></th> <th style="text-align: right;"><u>2007</u></th> </tr> </thead> <tbody> <tr> <td>Out of the General Revenue Fund:</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>a. Acquisition of Information Resource Technologies</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td> (1) Computer Equipment-Leased</td> <td style="text-align: right;">\$ 269,550</td> <td style="text-align: right;">\$ 391,812</td> <td style="text-align: right;">\$ 329,424</td> <td style="text-align: right;">\$ 391,812</td> </tr> <tr> <td> (2) Mainframe Operations</td> <td style="text-align: right;">291,411</td> <td></td> <td style="text-align: right;">291,411</td> <td></td> </tr> <tr> <td> (3) Modern Enterprise Environment</td> <td style="text-align: right;">1,275,500</td> <td></td> <td style="text-align: right;">1,055,950</td> <td></td> </tr> <tr> <td>Total, Acquisition of Information Resource Technologies</td> <td style="text-align: right;"><u>\$ 1,836,011</u></td> <td style="text-align: right;"><u>\$ 391,812</u></td> <td style="text-align: right;"><u>\$ 1,676,785</u></td> <td style="text-align: right;"><u>\$ 391,812</u></td> </tr> <tr> <td>b. Transportation Items</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td> (1) Fleet Acquisition (68 Vehicles and 15 additional vehicles) 63 replacement vehicles</td> <td style="text-align: right;">1,025,000</td> <td style="text-align: right;">\$ 688,000</td> <td style="text-align: right;">676,500</td> <td style="text-align: right;">\$ 666,500</td> </tr> <tr> <td>Total, Capital Budget</td> <td style="text-align: right;"><u>\$ 2,831,011</u></td> <td style="text-align: right;"><u>\$ 1,079,812</u></td> <td style="text-align: right;"><u>\$ 2,353,285</u></td> <td style="text-align: right;"><u>\$ 1,058,312</u></td> </tr> </tbody> </table>				<u>2004</u>	<u>2006</u>	<u>2005</u>	<u>2007</u>	Out of the General Revenue Fund:					a. Acquisition of Information Resource Technologies					(1) Computer Equipment-Leased	\$ 269,550	\$ 391,812	\$ 329,424	\$ 391,812	(2) Mainframe Operations	291,411		291,411		(3) Modern Enterprise Environment	1,275,500		1,055,950		Total, Acquisition of Information Resource Technologies	<u>\$ 1,836,011</u>	<u>\$ 391,812</u>	<u>\$ 1,676,785</u>	<u>\$ 391,812</u>	b. Transportation Items					(1) Fleet Acquisition (68 Vehicles and 15 additional vehicles) 63 replacement vehicles	1,025,000	\$ 688,000	676,500	\$ 666,500	Total, Capital Budget	<u>\$ 2,831,011</u>	<u>\$ 1,079,812</u>	<u>\$ 2,353,285</u>	<u>\$ 1,058,312</u>
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Rider Revisions and Additions Request

10	V-8	<p>Clothing Provisions.</p> <p>a. A commissioned officer who received a \$1,200 clothing allowance pursuant to the Appropriations Act during the 2002-03 <u>2004-05</u> biennium shall receive a \$1,200 clothing allowance he 2004-05 <u>2006-07</u> biennium.</p> <p>b. It is the intent of the Legislature that no person shall receive a \$1,200 clothing allowance unless eligible in subsection (a).</p> <p>c. An individual who is newly hired or newly commissioned after September 1, 1997 is eligible to receive a \$500 cleaning allowance. No rank other than that of Agent is entitled to a \$500 cleaning allowance.</p> <p>d. The Texas Alcoholic Beverage Commission may purchase uniforms for Tax Collectors at International Bridges.</p> <p><i>This rider has been updated to reflect the current biennium request.</i></p>
11	V-8	<p>Contingency Rider — Ports of Entry (Anzalduas Bridge). Contingent upon the opening of Anzalduas Bridge, the Texas Alcoholic Beverage Commission is hereby appropriated \$132,685 in fiscal year 2005 out of the General Revenue Fund to Strategy C.2.1., Ports of Entry for the purpose of regulating the personal importation of alcoholic beverages and cigarettes. Also contingent upon the opening of the bridge listed, the "Number of Full time Equivalent Positions" listed above is increased by 4 for fiscal year 2005. This appropriation is also contingent upon the Texas Alcoholic Beverage Commission increasing fees and surcharges pursuant to § 5.50 of the Alcoholic Beverage Code, by \$132,685 and providing such information as may be deemed necessary by the Comptroller of Public Accounts to issue a finding of fact that the increased revenues are estimated to be available in excess of the Comptroller's Biennial Revenue Estimates, including supplemental estimates used in certifying this Act, to fund the increased appropriations and benefits. Funds appropriated by this provision may be expended for capital budget purposes notwithstanding limitations on capital budget expenditures elsewhere in this Act.</p> <p><i>This rider is listed as an exceptional item.</i></p>

Rider Revisions and Additions Request

12	V-9	<p>Contingency Rider— Ports of Entry (Donna Bridge). Contingent upon the opening of Donna Bridge, the Texas Alcoholic Beverage Commission is hereby appropriated \$106,427 in fiscal year 2005 out of the General Revenue Fund to Strategy C.2.1, Ports of Entry for the purpose of regulating the personal importation of alcoholic beverages and cigarettes. Also contingent upon the opening of the bridge listed, the "Number of Full-time Equivalent Positions" listed above is increased by 4 for fiscal year 2005. This appropriation is also contingent upon the Texas Alcoholic Beverage Commission increasing fees and surcharges pursuant to § 5.50 of the Alcoholic Beverage Code, by \$106,427 and providing such information as may be deemed necessary by the Comptroller of Public Accounts to issue a finding of fact that the increased revenues are estimated to be available in excess of the Comptroller's Biennial Revenue Estimates, including supplemental estimates used in certifying this Act, to fund the increased appropriations and benefits.</p> <p><i>This rider is listed as an exceptional item.</i></p>
13	V-9	<p>Contingency Appropriation: Compliance Increase. Contingent upon the Texas Alcoholic Beverage Commission not receiving criminal justice grant funding or federal funding for the continuation of the agency's compliance investigators for fiscal year 2005, the Texas Alcoholic Beverage Commission is hereby appropriated \$269,998 out of the General Revenue Fund in fiscal year 2005 for the purpose of maintaining the compliance officer funding. This appropriation is contingent upon the Texas Alcoholic Beverage Commission increasing fees and surcharges pursuant to § 5.50 of the Alcoholic Beverage Code and providing such information as may be deemed necessary by the Comptroller of Public Accounts to issue a finding of fact that the increased revenues are estimated to be available in excess of the Comptroller's Biennial Revenue Estimate, including supplemental estimates used in certifying this Act, to fund the increased appropriations and benefits.</p> <p>The contingency rider appropriation has been included in the agency's base appropriation for fiscal years 2004-05.</p>
701	V	<p><u>Gasoline Contingency Rider. Contingent upon the price of gasoline exceeding a state average retail price of \$1.50 per gallon and upon the Texas Alcoholic Beverage Commission increasing fees and surcharges pursuant to § 5.50 of the Alcoholic Beverage Code by an equivalent amount and providing such information as may deemed necessary by the Comptroller of Public Accounts to issue a finding of fact that increased revenues are estimated to be available in excess of the Comptroller's Biennial Revenue Estimate, including supplemental estimates used in certifying this Act, to fund increase appropriations, the Texas Alcoholic Beverage Commission is appropriated an additional \$21,622 per year for each incremental \$0.10 per gallon increase in the statewide average retail price of gasoline above a base price of \$1.50 per gallon. The additional funds appropriated by this provision may only be used for the purchase of gasoline.</u></p>

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **5:04:47PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

CODE	DESCRIPTION	Excp 2006	Excp 2007
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Item Name: Return Reduction to Base

Item Priority: 1

Includes Funding for the Following Strategy or Strategies:

01-01-01	Enforcement
01-01-02	Deter Code Violations through Education
02-01-01	Licensing and Investigation
03-01-01	Conduct Inspections and Monitor Compliance
03-02-01	Place Ports Personnel for Maximum Revenues
04-01-01	Central Administration
04-01-02	Information Resources
04-01-03	Other Support Services

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	1,081,404	1,094,485
1002	OTHER PERSONNEL COSTS	3,528	4,704
2001	PROFESSIONAL FEES AND SERVICES	0	0
2002	FUELS AND LUBRICANTS	20,160	20,160
2003	CONSUMABLE SUPPLIES	9,900	9,900
2004	UTILITIES	15,387	15,387
2005	TRAVEL	47,905	47,905
2006	RENT - BUILDING	0	0
2007	RENT - MACHINE AND OTHER	0	0
2009	OTHER OPERATING EXPENSE	143,455	148,629
5000	CAPITAL EXPENDITURES	305,122	305,122
TOTAL, OBJECT OF EXPENSE		\$1,626,861	\$1,646,292

METHOD OF FINANCING:

1	GENERAL REVENUE FUND	1,626,861	1,646,292
TOTAL, METHOD OF FINANCING		\$1,626,861	\$1,646,292

FULL-TIME EQUIVALENT POSITIONS (FTE):

34.20	34.20
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DESCRIPTION / JUSTIFICATION:

Returning reduction to base will result in the agency being able to operate at current performance and continue to meet its established performance targets.

EXTERNAL/INTERNAL FACTORS:

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **5:04:58PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

CODE	DESCRIPTION	Excp 2006	Excp 2007
	Item Name: Compliance Officer Reclassification		
	Item Priority: 2		
	Includes Funding for the Following Strategy or Strategies: 03-01-01 Conduct Inspections and Monitor Compliance		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	115,230	180,030
	TOTAL, OBJECT OF EXPENSE	\$115,230	\$180,030
METHOD OF FINANCING:			
1	GENERAL REVENUE FUND	115,230	180,030
	TOTAL, METHOD OF FINANCING	\$115,230	\$180,030

DESCRIPTION / JUSTIFICATION:

The compliance division is having difficulty attracting qualified candidates for auditor positions due to a low entry salary level. After analyzing the market place for comparable positions with other state agencies it was determined that their entry-level pay scale exceeded the TABC auditor entry-level par scale significantly. This will provide a career path through the Auditor VI pay scale while our current career path is through the Auditor IV position.

EXTERNAL/INTERNAL FACTORS:

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **5:04:58PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

CODE	DESCRIPTION	Excp 2006	Excp 2007
	Item Name: Career Enhancement Pay for Tenured Employees		
	Item Priority: 3		
	Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Enforcement		
	02-01-01 Licensing and Investigation		
	03-01-01 Conduct Inspections and Monitor Compliance		
	03-02-01 Place Ports Personnel for Maximum Revenues		
	04-01-01 Central Administration		
	04-01-02 Information Resources		
	04-01-03 Other Support Services		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	386,192	386,192
	TOTAL, OBJECT OF EXPENSE	\$386,192	\$386,192
METHOD OF FINANCING:			
1	GENERAL REVENUE FUND	386,192	386,192
	TOTAL, METHOD OF FINANCING	\$386,192	\$386,192

DESCRIPTION / JUSTIFICATION:

This funding would be used to increase salaries of employees that have 8 or more years of service to the midpoint level of their salary range. The average percentage of employees in state agencies below midpoint range for salary is 66%. TABC's rate is 90% in Salary Schedule A. The statewide average for Salary Schedule B is 85% while 96% of TABC employees are below mid range. This has resulted from lack of funding.

EXTERNAL/INTERNAL FACTORS:

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **5:04:58PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

CODE	DESCRIPTION	Excp 2006	Excp 2007
	Item Name: Donna, Texas Port of Entry		
	Item Priority: 4		
	Includes Funding for the Following Strategy or Strategies: 03-02-01 Place Ports Personnel for Maximum Revenues		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	93,588	93,588
2003	CONSUMABLE SUPPLIES	2,600	2,600
2004	UTILITIES	3,420	2,160
2005	TRAVEL	1,840	1,840
2006	RENT - BUILDING	33,600	33,600
2007	RENT - MACHINE AND OTHER	3,555	3,555
2009	OTHER OPERATING EXPENSE	15,491	2,529
TOTAL, OBJECT OF EXPENSE		\$154,094	\$139,872
 METHOD OF FINANCING:			
1	GENERAL REVENUE FUND	154,094	139,872
TOTAL, METHOD OF FINANCING		\$154,094	\$139,872
FULL-TIME EQUIVALENT POSITIONS (FTE):		4.00	4.00

DESCRIPTION / JUSTIFICATION:

Funding for this exceptional item would provide the placement of 4 FTE's and the equipment at a new international bridge that is being built between Hidalgo and Progreso. With cigarette prices lower in Mexico, the importation of cigarettes continues to increase. Personnel must be strategically placed in order to maximize revenue and to maintain a regulatory presence. There is no construction associated with this bridge, as we will be renting the facility. The bridge is scheduled for construction to begin in October 2004 with an anticipated completion date of October 2005.

EXTERNAL/INTERNAL FACTORS:

There are several internal and external variables that can affect the projected start date of this project. Issues such as receiving all necessary Diplomatic Notes from Mexico and the coordination with the federal GSA and Homeland Security Departments concerning federal staffing will have an impact on this project. The final classification for this bridge operation from a limited operation to a "one stop" facility is also having an impact on the construction date.

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **5:04:58PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

CODE	DESCRIPTION	Excp 2006	Excp 2007
	Item Name: Anzalduas Port of Entry		
	Item Priority: 5		
	Includes Funding for the Following Strategy or Strategies: 03-02-01 Place Ports Personnel for Maximum Revenues		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	98,388	98,388
2003	CONSUMABLE SUPPLIES	2,600	2,600
2004	UTILITIES	3,420	2,160
2005	TRAVEL	1,400	1,400
2006	RENT - BUILDING	7,500	7,500
2007	RENT - MACHINE AND OTHER	5,055	5,055
2009	OTHER OPERATING EXPENSE	15,162	2,700
	TOTAL, OBJECT OF EXPENSE	\$133,525	\$119,803
 METHOD OF FINANCING:			
1	GENERAL REVENUE FUND	133,525	119,803
	TOTAL, METHOD OF FINANCING	\$133,525	\$119,803
 FULL-TIME EQUIVALENT POSITIONS (FTE):		4.00	4.00

DESCRIPTION / JUSTIFICATION:

Funding for this exceptional item would provide the placement of 4 FTE's and the equipment at a new international bridge the federal government is building at Anzalduas. This is located between Hidalgo and Rio Grande City and is classified as a high priority due to legislative action designating it as a "One Stop" bridge. With cigarette prices lower in Mexico, the importation of cigarettes continues to increase. Personnel must be strategically placed in order to maximize revenue and to maintain a regulatory presence.

EXTERNAL/INTERNAL FACTORS:

There are several internal and external variables that can and have affected the projected start date of June 2005 for this project. Issues such as receiving all necessary Diplomatic Notes from Mexico and the coordination with the federal GSA and Homeland Security Departments concerning federal staffing is having an impact on this project.

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **5:04:58PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

CODE	DESCRIPTION	Excp 2006	Excp 2007
	Item Name: Ports of Entry-Automation of Tax Collections.		
	Item Priority: 6		
	Includes Funding for the Following Strategy or Strategies: 03-02-01 Place Ports Personnel for Maximum Revenues		
OBJECTS OF EXPENSE:			
5000	CAPITAL EXPENDITURES	80,000	25,000
	TOTAL, OBJECT OF EXPENSE	\$80,000	\$25,000
METHOD OF FINANCING:			
1	GENERAL REVENUE FUND	80,000	25,000
	TOTAL, METHOD OF FINANCING	\$80,000	\$25,000

DESCRIPTION / JUSTIFICATION:

TABC Tax Collection Officers in the Compliance Division have been collecting tax using a manual process to collect and reconcile the taxes collected for alcohol and cigarettes entering the state from Mexico since the tax laws were established. These TCOs use a metal cash box and sheets of self adhesive stamps in this process and must reconcile manually the cash and stamps handled during their shift and then enter the associated data manually at the end of each shift. By automating this process the reconciliation process would be virtually eliminated and reporting of the tax receipts would occur continuously throughout the day rather than being manually entered at the end of the day.

EXTERNAL/INTERNAL FACTORS:

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **5:04:58PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

CODE	DESCRIPTION	Excp 2006	Excp 2007
	Item Name: Automating the Excise Tax Function.		
	Item Priority: 7		
	Includes Funding for the Following Strategy or Strategies: 03-01-01 Conduct Inspections and Monitor Compliance		
OBJECTS OF EXPENSE:			
5000	CAPITAL EXPENDITURES	250,000	75,000
	TOTAL, OBJECT OF EXPENSE	\$250,000	\$75,000

METHOD OF FINANCING:

1	GENERAL REVENUE FUND	250,000	75,000
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DESCRIPTION / JUSTIFICATION:

The processing of excise tax reports and collection may be the most labor intensive activity at TABC. Reviewing and reconciling the related reports is all done manually by TABC and the reporting businesses. The development of this automated system would allow major business entities in the alcoholic beverage industry to submit the required reports through an automated network rather than printed documents which could then be reconciled much more rapidly through automation allowing business to operate more efficiently, generating more tax revenue for the state in a more timely manner. This project has been one of the most requested projects internally by staff (since the early 1990's) and most recently from the alcoholic beverage industry and could eliminate the need to request more FTEs to process the reports.

EXTERNAL/INTERNAL FACTORS:

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:21PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name: Return Reduction to Base		
Allocation to Strategy: 1-1-1 Enforcement		
OUTPUT MEASURES:		
<u>1</u> Number of Inspections Made	12,430.00	15,422.00
EFFICIENCY MEASURES:		
<u>1</u> Average Cost Per Inspection	153.34	153.92
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	512,623	524,822
1002 OTHER PERSONNEL COSTS	3,528	4,704
2001 PROFESSIONAL FEES AND SERVICES	0	0
2002 FUELS AND LUBRICANTS	20,160	20,160
2003 CONSUMABLE SUPPLIES	4,900	4,900
2004 UTILITIES	8,400	8,400
2005 TRAVEL	8,400	8,400
2006 RENT - BUILDING	0	0
2007 RENT - MACHINE AND OTHER	0	0
2009 OTHER OPERATING EXPENSE	39,866	39,866
5000 CAPITAL EXPENDITURES	296,300	296,300
TOTAL, OBJECT OF EXPENSE	\$894,177	\$907,552
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	894,177	907,552
TOTAL, METHOD OF FINANCING	\$894,177	\$907,552
FULL-TIME EQUIVALENT POSITIONS (FTE):	14.0	14.0

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name: Return Reduction to Base		
Allocation to Strategy: 1-1-2 Deter Code Violations through Education		
OUTPUT MEASURES:		
<u>1</u> Number of Persons Instructed by the Agency	27,825.00	35,050.00
<u>2</u> Number of Youth Instructed by the Agency	9,964.00	13,419.00
EFFICIENCY MEASURES:		
<u>1</u> Average Cost Per Person Instructed by Agency	7.50	7.52
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	32,546	32,950
1002 OTHER PERSONNEL COSTS	0	0
2001 PROFESSIONAL FEES AND SERVICES	0	0
2002 FUELS AND LUBRICANTS	0	0
2003 CONSUMABLE SUPPLIES	0	0
2004 UTILITIES	0	0
2005 TRAVEL	0	0
2006 RENT - BUILDING	0	0
2007 RENT - MACHINE AND OTHER	0	0
2009 OTHER OPERATING EXPENSE	0	0
5000 CAPITAL EXPENDITURES	0	0
TOTAL, OBJECT OF EXPENSE	\$32,546	\$32,950
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	32,546	32,950
TOTAL, METHOD OF FINANCING	\$32,546	\$32,950
FULL-TIME EQUIVALENT POSITIONS (FTE):	1.0	1.0

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name:	Return Reduction to Base	
Allocation to Strategy:	2-1-1	Licensing and Investigation
OUTPUT MEASURES:		
<u>1</u> Number of Applications Processed	21,381.00	21,381.00
<u>2</u> Number of Licenses/Permits Issued	20,885.00	20,387.00
EFFICIENCY MEASURES:		
<u>1</u> Average Cost Per License/Permit Processed	27.75	27.59
EXPLANATORY/INPUT MEASURES:		
<u>1</u> # Alcoholic Beverage Containers/Cigarette Pkgs Confiscated	623.00	623.00
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	85,918	86,027
2005 TRAVEL	16,000	16,000
2009 OTHER OPERATING EXPENSE	37,906	39,137
TOTAL, OBJECT OF EXPENSE	\$139,824	\$141,164
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	139,824	141,164
TOTAL, METHOD OF FINANCING	\$139,824	\$141,164
FULL-TIME EQUIVALENT POSITIONS (FTE):	3.3	3.3

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name:	Return Reduction to Base	
Allocation to Strategy:	3-1-1	Conduct Inspections and Monitor Compliance
OUTPUT MEASURES:		
<u>1</u> Number of Inspections, Analyses, and Compliance Activities	4,000.00	4,000.00
EFFICIENCY MEASURES:		
<u>1</u> Average Cost Per Compliance Activity	29.52	29.51
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	122,725	122,771
1002 OTHER PERSONNEL COSTS	0	0
2003 CONSUMABLE SUPPLIES	1,750	1,750
2004 UTILITIES	3,087	3,087
2005 TRAVEL	20,580	20,580
2009 OTHER OPERATING EXPENSE	11,691	13,354
5000 CAPITAL EXPENDITURES	3,097	3,097
TOTAL, OBJECT OF EXPENSE	\$162,930	\$164,639
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	162,930	164,639
TOTAL, METHOD OF FINANCING	\$162,930	\$164,639
FULL-TIME EQUIVALENT POSITIONS (FTE):	4.2	4.2

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name: Return Reduction to Base		
Allocation to Strategy: 3-2-1 Place Ports Personnel for Maximum Revenues		
OUTPUT MEASURES:		
<u>1</u> Number of Alcoholic Beverage Containers and Cigarette Packages Stamped	722,973.00	296,358.00
EFFICIENCY MEASURES:		
<u>1</u> Average Cost Per Alcoholic Beverage Container or Cigarette Package	0.66	0.71
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	176,267	176,267
1002 OTHER PERSONNEL COSTS	0	0
2003 CONSUMABLE SUPPLIES	3,250	3,250
2004 UTILITIES	3,900	3,900
2005 TRAVEL	2,925	2,925
2009 OTHER OPERATING EXPENSE	3,099	3,596
5000 CAPITAL EXPENDITURES	5,725	5,725
TOTAL, OBJECT OF EXPENSE	\$195,166	\$195,663
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	195,166	195,663
TOTAL, METHOD OF FINANCING	\$195,166	\$195,663
FULL-TIME EQUIVALENT POSITIONS (FTE):	7.0	7.0

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name: Return Reduction to Base		
Allocation to Strategy: 4-1-1 Central Administration		
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	87,252	87,252
2009 OTHER OPERATING EXPENSE	13,546	14,588
TOTAL, OBJECT OF EXPENSE	\$100,798	\$101,840
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	100,798	101,840
TOTAL, METHOD OF FINANCING	\$100,798	\$101,840
FULL-TIME EQUIVALENT POSITIONS (FTE):	2.7	2.7

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

		Excp 2006	Excp 2007
Item Name:	Return Reduction to Base		
Allocation to Strategy:	4-1-2 Information Resources		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	33,286	33,286
2009	OTHER OPERATING EXPENSE	37,347	38,088
TOTAL, OBJECT OF EXPENSE		\$70,633	\$71,374
METHOD OF FINANCING:			
1	GENERAL REVENUE FUND	70,633	71,374
TOTAL, METHOD OF FINANCING		\$70,633	\$71,374
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name:	Compliance Officer Reclassification	
Allocation to Strategy:	3-1-1 Conduct Inspections and Monitor Compliance	
EFFICIENCY MEASURES:		
<u>1</u> Average Cost Per Compliance Activity	39.90	40.67
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	115,230	180,030
TOTAL, OBJECT OF EXPENSE	\$115,230	\$180,030
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	115,230	180,030
TOTAL, METHOD OF FINANCING	\$115,230	\$180,030

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name: Career Enhancement Pay for Tenured Employees		
Allocation to Strategy: 1-1-1 Enforcement		
EFFICIENCY MEASURES:		
<u>1</u> Average Cost Per Inspection	162.51	166.32
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	91,618	91,618
TOTAL, OBJECT OF EXPENSE	\$91,618	\$91,618
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	91,618	91,618
TOTAL, METHOD OF FINANCING	\$91,618	\$91,618

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name: Career Enhancement Pay for Tenured Employees		
Allocation to Strategy: 2-1-1 Licensing and Investigation		
EFFICIENCY MEASURES:		
<u>1</u> Average Cost Per License/Permit Processed	34.06	33.81
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	99,391	99,391
TOTAL, OBJECT OF EXPENSE	99,391	99,391
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	99,391	99,391
TOTAL, METHOD OF FINANCING	99,391	99,391

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name:	Career Enhancement Pay for Tenured Employees	
Allocation to Strategy:	3-1-1	Conduct Inspections and Monitor Compliance
EFFICIENCY MEASURES:		
<u>1</u> Average Cost Per Compliance Activity	29.75	29.74
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	33,831	33,831
TOTAL, OBJECT OF EXPENSE	\$33,831	\$33,831
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	33,831	33,831
TOTAL, METHOD OF FINANCING	\$33,831	\$33,831

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name:	Career Enhancement Pay for Tenured Employees	
Allocation to Strategy:	3-2-1	Place Ports Personnel for Maximum Revenues
EFFICIENCY MEASURES:		
<u>1</u> Average Cost Per Alcoholic Beverage Container or Cigarette Package	0.74	0.74
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	101,736	101,736
TOTAL, OBJECT OF EXPENSE	\$101,736	\$101,736
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	101,736	101,736
TOTAL, METHOD OF FINANCING	\$101,736	\$101,736

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name: Career Enhancement Pay for Tenured Employees		
Allocation to Strategy: 4-1-1 Central Administration		
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	16,190	16,190
TOTAL, OBJECT OF EXPENSE	\$16,190	\$16,190
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	16,190	16,190
TOTAL, METHOD OF FINANCING	\$16,190	\$16,190

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name: Career Enhancement Pay for Tenured Employees		
Allocation to Strategy: 4-1-2 Information Resources		
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	38,432	38,432
TOTAL, OBJECT OF EXPENSE	\$38,432	\$38,432
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	38,432	38,432
TOTAL, METHOD OF FINANCING	\$38,432	\$38,432

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name: Career Enhancement Pay for Tenured Employees		
Allocation to Strategy: 4-1-3 Other Support Services		
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	4,994	4,994
TOTAL, OBJECT OF EXPENSE	\$4,994	\$4,994
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	4,994	4,994
TOTAL, METHOD OF FINANCING	\$4,994	\$4,994

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name:	Donna, Texas Port of Entry	
Allocation to Strategy:	3-2-1 Place Ports Personnel for Maximum Revenues	
OUTPUT MEASURES:		
<u>1</u> Number of Alcoholic Beverage Containers and Cigarette Packages Stamped	89,552.00	89,552.00
EFFICIENCY MEASURES:		
<u>1</u> Average Cost Per Alcoholic Beverage Container or Cigarette Package	0.74	0.73
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	93,588	93,588
2003 CONSUMABLE SUPPLIES	2,600	2,600
2004 UTILITIES	3,420	2,160
2005 TRAVEL	1,840	1,840
2006 RENT - BUILDING	33,600	33,600
2007 RENT - MACHINE AND OTHER	3,555	3,555
2009 OTHER OPERATING EXPENSE	15,491	2,529
TOTAL, OBJECT OF EXPENSE	\$154,094	\$139,872
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	154,094	139,872
TOTAL, METHOD OF FINANCING	\$154,094	\$139,872
FULL-TIME EQUIVALENT POSITIONS (FTE):	4.0	4.0

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name:	Anzalduas Port of Entry	
Allocation to Strategy:	3-2-1 Place Ports Personnel for Maximum Revenues	
OUTPUT MEASURES:		
<u>1</u> Number of Alcoholic Beverage Containers and Cigarette Packages Stamped	89,552.00	89,552.00
EFFICIENCY MEASURES:		
<u>1</u> Average Cost Per Alcoholic Beverage Container or Cigarette Package	0.73	0.73
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	98,388	98,388
2003 CONSUMABLE SUPPLIES	2,600	2,600
2004 UTILITIES	3,420	2,160
2005 TRAVEL	1,400	1,400
2006 RENT - BUILDING	7,500	7,500
2007 RENT - MACHINE AND OTHER	5,055	5,055
2009 OTHER OPERATING EXPENSE	15,162	2,700
TOTAL, OBJECT OF EXPENSE	\$133,525	\$119,803
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	133,525	119,803
TOTAL, METHOD OF FINANCING	\$133,525	\$119,803
FULL-TIME EQUIVALENT POSITIONS (FTE):	4.0	4.0

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name:	Ports of Entry-Automation of Tax Collections.	
Allocation to Strategy:	3-2-1 Place Ports Personnel for Maximum Revenues	
EFFICIENCY MEASURES:		
1 Average Cost Per Alcoholic Beverage Container or Cigarette Package	0.74	0.72
OBJECTS OF EXPENSE:		
5000 CAPITAL EXPENDITURES	80,000	25,000
TOTAL, OBJECT OF EXPENSE	\$80,000	\$25,000
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	80,000	25,000
TOTAL, METHOD OF FINANCING	\$80,000	\$25,000

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **4:27:31PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

	Excp 2006	Excp 2007
Item Name: Automating the Excise Tax Function.		
Allocation to Strategy: 3-1-1 Conduct Inspections and Monitor Compliance		
EFFICIENCY MEASURES:		
<u>1</u> Average Cost Per Compliance Activity	41.56	39.38
OBJECTS OF EXPENSE:		
5000 CAPITAL EXPENDITURES	250,000	75,000
TOTAL, OBJECT OF EXPENSE	\$250,000	\$75,000
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	250,000	75,000
TOTAL, METHOD OF FINANCING	\$250,000	\$75,000

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
TIME: 4:28:01PM

Agency Code:	458	Agency name:	Alcoholic Beverage Commission
GOAL:	1 Promote Health/Safety/Welfare of Public by Voluntary Compliance	Statewide Goal/Benchmark:	4 - 5
OBJECTIVE:	1 Detect/Prevent Law Violations	Service Categories:	
STRATEGY:	1 Enforcement	Service: 34	Income: A.2
			Age: B.3

CODE DESCRIPTION	Excp 2006	Excp 2007
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STRATEGY IMPACT ON OUTCOME MEASURES:

1 Percentage of Licensed Establishments Inspected Annually	78.50 %	78.50 %
2 % of Administrative Cases Resulting in Suspension or Cancellation	98.00 %	98.00 %
3 % Agency Contacts Rating Enforcement Actions As Satisfactory or Better	82.50 %	82.50 %
4 Percentage of Complaint Investigations Closed within 60 Days	90.00 %	90.00 %

OUTPUT MEASURES:

1 Number of Inspections Made	10,428.00	12,397.00
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EFFICIENCY MEASURES:

1 Average Cost Per Inspection	170.93	174.85
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EXPLANATORY/INPUT MEASURES:

1 Number of Administrative Cases Settled	2,500.00	2,500.00
2 Number of Criminal Violations Found during Inspections	19,955.00	19,955.00
3 Number of Licensed Establishments Subject to Inspection	39,565.00	39,605.00
4 Number of Investigations Opened	5,700.00	5,700.00
5 Number of Administrative Violations Found during Inspections	11,200.00	11,200.00
6 Number of Criminal Cases Filed	17,800.00	17,800.00

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	604,241	616,440
1002 OTHER PERSONNEL COSTS	3,528	4,704
2002 FUELS AND LUBRICANTS	20,160	20,160
2003 CONSUMABLE SUPPLIES	4,900	4,900
2004 UTILITIES	8,400	8,400
2005 TRAVEL	8,400	8,400
2009 OTHER OPERATING EXPENSE	39,866	39,866
5000 CAPITAL EXPENDITURES	296,300	296,300

Total, Objects of Expense	\$985,795	\$999,170
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4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
TIME: 4:28:06PM

Agency Code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 1 Promote Health/Safety/Welfare of Public by Voluntary Compliance Statewide Goal/Benchmark: 4 - 5
 OBJECTIVE: 1 Detect/Prevent Law Violations Service Categories:
 STRATEGY: 1 Enforcement Service: 34 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2006	Excp 2007
METHOD OF FINANCING:		
1 GENERAL REVENUE FUND	985,795	999,170
Total, Method of Finance	\$985,795	\$999,170

FULL-TIME EQUIVALENT POSITIONS (FTE): 14.0 14.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Return Reduction to Base
 Career Enhancement Pay for Tenured Employees

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
TIME: 4:28:06PM

Agency Code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 1 Promote Health/Safety/Welfare of Public by Voluntary Compliance Statewide Goal/Benchmark: 4 - 0

OBJECTIVE: 1 Detect/Prevent Law Violations Service Categories:

STRATEGY: 2 Deter Code Violations through Education Service: NA Income: NA Age: NA

CODE DESCRIPTION	Excp 2006	Excp 2007
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OUTPUT MEASURES:

<u>1</u> Number of Persons Instructed by the Agency	23,700.00	28,175.00
<u>2</u> Number of Youth Instructed by the Agency	19,885.00	23,640.00

EFFICIENCY MEASURES:

<u>1</u> Average Cost Per Person Instructed by Agency	8.36	7.97
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	32,546	32,950
Total, Objects of Expense	\$32,546	\$32,950

METHOD OF FINANCING:

1 GENERAL REVENUE FUND	32,546	32,950
Total, Method of Finance	\$32,546	\$32,950

FULL-TIME EQUIVALENT POSITIONS (FTE):

	1.0	1.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Return Reduction to Base

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
TIME: 4:28:06PM

Agency Code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 2 Process/Issue Alcoholic Beverage License/Permit Applications Statewide Goal/Benchmark: 6 - 2

OBJECTIVE: 1 Process Applications within 14 Days Service Categories:

STRATEGY: 1 Licensing and Investigation Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2006	Excp 2007
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STRATEGY IMPACT ON OUTCOME MEASURES:

1 % of Original License/Permit Applications Processed within 14 Days	99.00 %	99.00 %
2 % of Agency Clients Expressing Satisfaction with Licensing Process	92.00 %	92.00 %

OUTPUT MEASURES:

1 Number of Applications Processed	21,381.00	21,381.00
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EFFICIENCY MEASURES:

1 Average Cost Per License/Permit Processed	13.48	13.56
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	185,309	185,418
2005 TRAVEL	16,000	16,000
2009 OTHER OPERATING EXPENSE	37,906	39,137
Total, Objects of Expense	\$239,215	\$240,555

METHOD OF FINANCING:

1 GENERAL REVENUE FUND	239,215	240,555
Total, Method of Finance	\$239,215	\$240,555

FULL-TIME EQUIVALENT POSITIONS (FTE):

3.3	3.3
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Return Reduction to Base
 Career Enhancement Pay for Tenured Employees

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
TIME: 4:28:06PM

Agency Code:	458	Agency name:	Alcoholic Beverage Commission
GOAL:	3 Ensure Compliance with Fees & Taxes	Statewide Goal/Benchmark:	6 - 2
OBJECTIVE:	1 Ensure Compliance with Alcoholic Beverage Code	Service Categories:	
STRATEGY:	1 Conduct Inspections and Monitor Compliance	Service: 17	Income: A.2
			Age: B.3

CODE DESCRIPTION	Excp 2006	Excp 2007
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STRATEGY IMPACT ON OUTCOME MEASURES:

1 % of Inspections Resulting in Administrative or Compliance Actions	25.50 %	25.50 %
2 Percent of Report Analyses Resulting in Admin or Compliance Actions	24.20 %	24.20 %
3 % Contacts Expressing Satisfaction with Compliance Functions	97.00 %	97.00 %

OUTPUT MEASURES:

1 Number of Inspections, Analyses, and Compliance Activities	4,000.00	4,000.00
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EFFICIENCY MEASURES:

1 Average Cost Per Compliance Activity	114.03	93.33
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	271,786	336,632
2003 CONSUMABLE SUPPLIES	1,750	1,750
2004 UTILITIES	3,087	3,087
2005 TRAVEL	20,580	20,580
2009 OTHER OPERATING EXPENSE	11,691	13,354
5000 CAPITAL EXPENDITURES	253,097	78,097
Total, Objects of Expense	\$561,991	\$453,500

METHOD OF FINANCING:

1 GENERAL REVENUE FUND	561,991	453,500
Total, Method of Finance	\$561,991	\$453,500

FULL-TIME EQUIVALENT POSITIONS (FTE):

	4.2	4.2
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Return Reduction to Base
 Compliance Officer Reclassification
 Career Enhancement Pay for Tenured Employees

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
79th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
TIME: 4:28:06PM

Agency Code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 3 Ensure Compliance with Fees & Taxes Statewide Goal/Benchmark: 6 - 2

OBJECTIVE: 1 Ensure Compliance with Alcoholic Beverage Code Service Categories:

STRATEGY: 1 Conduct Inspections and Monitor Compliance Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2006	Excp 2007
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Automating the Excise Tax Function.

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
TIME: 4:28:06PM

Agency Code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 3 Ensure Compliance with Fees & Taxes Statewide Goal/Benchmark: 6 - 2

OBJECTIVE: 2 Ensure Maximum Compliance with Importation Laws at Ports of Entry Service Categories:

STRATEGY: 1 Place Ports Personnel for Maximum Revenues Service: 03 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2006	Excp 2007
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STRATEGY IMPACT ON OUTCOME MEASURES:

1 Revenue As a Percent of Expenses	95.40 %	97.70 %
2 Illegal Containers Identified As a % of Total Containers Imported	0.21 %	0.21 %

OUTPUT MEASURES:

1 Number of Alcoholic Beverage Containers and Cigarette Packages Stamped	722,973.00	296,358.00
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EFFICIENCY MEASURES:

1 Average Cost Per Alcoholic Beverage Container or Cigarette Package	0.14	0.12
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	469,979	469,979
2003 CONSUMABLE SUPPLIES	8,450	8,450
2004 UTILITIES	10,740	8,220
2005 TRAVEL	6,165	6,165
2006 RENT - BUILDING	41,100	41,100
2007 RENT - MACHINE AND OTHER	8,610	8,610
2009 OTHER OPERATING EXPENSE	33,752	8,825
5000 CAPITAL EXPENDITURES	85,725	30,725

Total, Objects of Expense

\$664,521	\$582,074
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METHOD OF FINANCING:

1 GENERAL REVENUE FUND	664,521	582,074
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Total, Method of Finance

\$664,521	\$582,074
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FULL-TIME EQUIVALENT POSITIONS (FTE):

15.0	15.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Return Reduction to Base
 Career Enhancement Pay for Tenured Employees
 Donna, Texas Port of Entry

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
79th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
TIME: 4:28:06PM

Agency Code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 3 Ensure Compliance with Fees & Taxes Statewide Goal/Benchmark: 6 - 2
OBJECTIVE: 2 Ensure Maximum Compliance with Importation Laws at Ports of Entry Service Categories:
STRATEGY: 1 Place Ports Personnel for Maximum Revenues Service: 03 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2006	Excp 2007
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Anzalduas Port of Entry
Ports of Entry-Automation of Tax Collections.

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
TIME: 4:28:06PM

Agency Code:	458	Agency name:	Alcoholic Beverage Commission
GOAL:	4 Indirect Administration	Statewide Goal/Benchmark:	7 - 6
OBJECTIVE:	1 Indirect Administration	Service Categories:	
STRATEGY:	1 Central Administration	Service: 09	Income: A.2
			Age: B.3

CODE DESCRIPTION	Excp 2006	Excp 2007
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	103,442	103,442
2009 OTHER OPERATING EXPENSE	13,546	14,588
Total, Objects of Expense	\$116,988	\$118,030

METHOD OF FINANCING:

1 GENERAL REVENUE FUND	116,988	118,030
Total, Method of Finance	\$116,988	\$118,030

FULL-TIME EQUIVALENT POSITIONS (FTE):

	2.7	2.7
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Return Reduction to Base
 Career Enhancement Pay for Tenured Employees

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
TIME: 4:28:06PM

Agency Code: **458**

Agency name: **Alcoholic Beverage Commission**

GOAL: 4 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Statewide Goal/Benchmark: 7 - 6
 Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2006	Excp 2007
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	71,718	71,718
2009 OTHER OPERATING EXPENSE	37,347	38,088
Total, Objects of Expense	\$109,065	\$109,806

METHOD OF FINANCING:

1 GENERAL REVENUE FUND	109,065	109,806
Total, Method of Finance	\$109,065	\$109,806

FULL-TIME EQUIVALENT POSITIONS (FTE):

1.0	1.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Return Reduction to Base
 Career Enhancement Pay for Tenured Employees

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
TIME: 4:28:06PM

Agency Code: **458** Agency name: **Alcoholic Beverage Commission**

GOAL: 4 Indirect Administration Statewide Goal/Benchmark: 7 - 6

OBJECTIVE: 1 Indirect Administration Service Categories:

STRATEGY: 3 Other Support Services Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2006	Excp 2007
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	35,781	36,104
Total, Objects of Expense	\$35,781	\$36,104

METHOD OF FINANCING:

1 GENERAL REVENUE FUND	35,781	36,104
Total, Method of Finance	\$35,781	\$36,104

FULL-TIME EQUIVALENT POSITIONS (FTE):

1.0	1.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Return Reduction to Base
 Career Enhancement Pay for Tenured Employees

5.A. CAPITAL BUDGET PROJECT SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 2:43:49PM

Agency code: 458

Agency name: Alcoholic Beverage Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2004

Bud 2005

BL 2006

BL 2007

5003 Repair or Rehabilitation of Buildings and Facilities

3/3 Border Stations

OBJECTS OF EXPENSE

Capital

2001 PROFESSIONAL FEES AND SERVICES		\$14,160	\$0	\$0	\$0
5000 CAPITAL EXPENDITURES		\$60,000	\$0	\$0	\$0
Capital Subtotal OOE, Project	3	\$74,160	\$0	\$0	\$0
Subtotal OOE, Project	3	\$74,160	\$0	\$0	\$0

TYPE OF FINANCING

Capital

CA 1 GENERAL REVENUE FUND		\$74,160	\$0	\$0	\$0
Capital Subtotal TOF, Project	3	\$74,160	\$0	\$0	\$0
Subtotal TOF, Project	3	\$74,160	\$0	\$0	\$0

10/10 Remodeling of headquarters offices for newly funded FTEs.

OBJECTS OF EXPENSE

Capital

5000 CAPITAL EXPENDITURES		\$50,489	\$0	\$0	\$0
Capital Subtotal OOE, Project	10	\$50,489	\$0	\$0	\$0
Subtotal OOE, Project	10	\$50,489	\$0	\$0	\$0

TYPE OF FINANCING

Capital

CA 1 GENERAL REVENUE FUND		\$50,489	\$0	\$0	\$0
Capital Subtotal TOF, Project	10	\$50,489	\$0	\$0	\$0
Subtotal TOF, Project	10	\$50,489	\$0	\$0	\$0

5.A. CAPITAL BUDGET PROJECT SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **2:47:11PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	Est 2004	Bud 2005	BL 2006	BL 2007
Capital Subtotal, Category 5003	\$124,649	\$0	\$0	\$0
Informational Subtotal, Category 5003				
Total, Category 5003	\$124,649	\$0	\$0	\$0

5005 Acquisition of Information Resource Technologies

1/1 Agency-wide PC Replacements and new servers(leased)

OBJECTS OF EXPENSE

Capital

2009 OTHER OPERATING EXPENSE	\$154,749	\$0	\$0	\$0
5000 CAPITAL EXPENDITURES	\$132,140	\$305,702	\$284,368	\$284,368
Capital Subtotal OOE, Project 1	\$286,889	\$305,702	\$284,368	\$284,368
Subtotal OOE, Project 1	\$286,889	\$305,702	\$284,368	\$284,368

TYPE OF FINANCING

Capital

CA 1 GENERAL REVENUE FUND	\$286,889	\$305,702	\$284,368	\$284,368
Capital Subtotal TOF, Project 1	\$286,889	\$305,702	\$284,368	\$284,368
Subtotal TOF, Project 1	\$286,889	\$305,702	\$284,368	\$284,368

4/4 Mainframe Operations

OBJECTS OF EXPENSE

Capital

5000 CAPITAL EXPENDITURES	\$291,411	\$244,012	\$0	\$0
Capital Subtotal OOE, Project 4	\$291,411	\$244,012	\$0	\$0
Subtotal OOE, Project 4	\$291,411	\$244,012	\$0	\$0

TYPE OF FINANCING

Capital

5.A. CAPITAL BUDGET PROJECT SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 2:47:11PM

Agency code: 458

Agency name: Alcoholic Beverage Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2004

Bud 2005

BL 2006

BL 2007

CA	1	GENERAL REVENUE FUND	\$291,411	\$244,012	\$0	\$0
Capital Subtotal TOF, Project	4		\$291,411	\$244,012	\$0	\$0
Subtotal TOF, Project	4		\$291,411	\$244,012	\$0	\$0

5/5 Re-Engineer Legacy Database System

OBJECTS OF EXPENSE

Capital

2001	PROFESSIONAL FEES AND SERVICES	\$55,950	\$165,000	\$0	\$0
2004	UTILITIES	\$3,981	\$0	\$0	\$0
2005	TRAVEL	\$0	\$36,000	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$139,040	\$280,199	\$87,619	\$87,619
5000	CAPITAL EXPENDITURES	\$672,958	\$976,872	\$81,822	\$81,822
Capital Subtotal OOE, Project	5	\$871,929	\$1,458,071	\$169,441	\$169,441
Subtotal OOE, Project	5	\$871,929	\$1,458,071	\$169,441	\$169,441

TYPE OF FINANCING

Capital

CA	1	GENERAL REVENUE FUND	\$871,929	\$1,458,071	\$169,441	\$169,441
Capital Subtotal TOF, Project	5		\$871,929	\$1,458,071	\$169,441	\$169,441
Subtotal TOF, Project	5		\$871,929	\$1,458,071	\$169,441	\$169,441

7/7 Human resource management software

OBJECTS OF EXPENSE

Capital

2001	PROFESSIONAL FEES AND SERVICES	\$21,000	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$13,320	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$48,100	\$0	\$0	\$0
Capital Subtotal OOE, Project	7	\$82,420	\$0	\$0	\$0

5.A. CAPITAL BUDGET PROJECT SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 2:47:11PM

Agency code: 458

Agency name: Alcoholic Beverage Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		Est 2004	Bud 2005	BL 2006	BL 2007
Subtotal OOE, Project	7	\$82,420	\$0	\$0	\$0
TYPE OF FINANCING					
<u>Capital</u>					
CA 1 GENERAL REVENUE FUND		\$82,420	\$0	\$0	\$0
Capital Subtotal TOF, Project	7	\$82,420	\$0	\$0	\$0
Subtotal TOF, Project	7	\$82,420	\$0	\$0	\$0
<i>11/11 Security system for headquarters offices.</i>					
OBJECTS OF EXPENSE					
<u>Capital</u>					
5000 CAPITAL EXPENDITURES		\$41,820	\$0	\$0	\$0
Capital Subtotal OOE, Project	11	\$41,820	\$0	\$0	\$0
Subtotal OOE, Project	11	\$41,820	\$0	\$0	\$0
TYPE OF FINANCING					
<u>Capital</u>					
CA 1 GENERAL REVENUE FUND		\$41,820	\$0	\$0	\$0
Capital Subtotal TOF, Project	11	\$41,820	\$0	\$0	\$0
Subtotal TOF, Project	11	\$41,820	\$0	\$0	\$0
<i>12/12 Upgrade to the agency's information systems.</i>					
OBJECTS OF EXPENSE					
<u>Capital</u>					
2001 PROFESSIONAL FEES AND SERVICES		\$53,940	\$0	\$0	\$0
2009 OTHER OPERATING EXPENSE		\$40,711	\$0	\$0	\$0
5000 CAPITAL EXPENDITURES		\$148,890	\$0	\$0	\$0
Capital Subtotal OOE, Project	12	\$243,541	\$0	\$0	\$0
Subtotal OOE, Project	12	\$243,541	\$0	\$0	\$0

5.A. CAPITAL BUDGET PROJECT SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME : 2:47:11PM

Agency code: 458

Agency name: Alcoholic Beverage Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2004

Bud 2005

BL 2006

BL 2007

TYPE OF FINANCING

Capital

CA 1 GENERAL REVENUE FUND	\$243,541	\$0	\$0	\$0
Capital Subtotal TOF, Project 12	\$243,541	\$0	\$0	\$0
Subtotal TOF, Project 12	\$243,541	\$0	\$0	\$0

15/15 Automation of excise tax reports.

OBJECTS OF EXPENSE

Capital

2001 PROFESSIONAL FEES AND SERVICES	\$0	\$0	\$0	\$0
2009 OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$0
5000 CAPITAL EXPENDITURES	\$0	\$0	\$0	\$0
Capital Subtotal OOE, Project 15	\$0	\$0	\$0	\$0
Subtotal OOE, Project 15	\$0	\$0	\$0	\$0

TYPE OF FINANCING

Capital

CA 1 GENERAL REVENUE FUND	\$0	\$0	\$0	\$0
Capital Subtotal TOF, Project 15	\$0	\$0	\$0	\$0
Subtotal TOF, Project 15	\$0	\$0	\$0	\$0

16/16 Network Printer Replacement (Daily operations)

OBJECTS OF EXPENSE

Capital

2009 OTHER OPERATING EXPENSE	\$12,684	\$0	\$0	\$0
5000 CAPITAL EXPENDITURES	\$49,750	\$0	\$0	\$0
Capital Subtotal OOE, Project 16	\$62,434	\$0	\$0	\$0
Subtotal OOE, Project 16	\$62,434	\$0	\$0	\$0

5.A. CAPITAL BUDGET PROJECT SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 2:47:11PM

Agency code: 458

Agency name: Alcoholic Beverage Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	Est 2004	Bud 2005	BL 2006	BL 2007
TYPE OF FINANCING				
<u>Capital</u>				
CA 1 GENERAL REVENUE FUND	\$62,434	\$0	\$0	\$0
Capital Subtotal TOF, Project 16	\$62,434	\$0	\$0	\$0
Subtotal TOF, Project 16	\$62,434	\$0	\$0	\$0
<i>17/17 Server Replacements of File Servers and Database Servers (Daily Operations)</i>				
OBJECTS OF EXPENSE				
<u>Capital</u>				
5000 CAPITAL EXPENDITURES	\$75,826	\$0	\$0	\$0
Capital Subtotal OOE, Project 17	\$75,826	\$0	\$0	\$0
Subtotal OOE, Project 17	\$75,826	\$0	\$0	\$0
TYPE OF FINANCING				
<u>Capital</u>				
CA 1 GENERAL REVENUE FUND	\$75,826	\$0	\$0	\$0
Capital Subtotal TOF, Project 17	\$75,826	\$0	\$0	\$0
Subtotal TOF, Project 17	\$75,826	\$0	\$0	\$0
Capital Subtotal, Category 5005	\$1,956,270	\$2,007,785	\$453,809	\$453,809
Informational Subtotal, Category 5005				
Total, Category 5005	\$1,956,270	\$2,007,785	\$453,809	\$453,809

5006 Transportation Items

2/2 Fleet Acquisitions (72 Vehicles)

OBJECTS OF EXPENSE

Capital

5000 CAPITAL EXPENDITURES	\$1,027,930	\$676,500	\$688,000	\$666,500
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5.A. CAPITAL BUDGET PROJECT SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME : 2:47:11PM

Agency code: 458

Agency name: Alcoholic Beverage Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2004

Bud 2005

BL 2006

BL 2007

Capital Subtotal OOE, Project	2	\$1,027,930	\$676,500	\$688,000	\$666,500
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Subtotal OOE, Project	2	\$1,027,930	\$676,500	\$688,000	\$666,500
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TYPE OF FINANCING

Capital

CA 1 GENERAL REVENUE FUND		\$1,027,930	\$676,500	\$688,000	\$666,500
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Capital Subtotal TOF, Project	2	\$1,027,930	\$676,500	\$688,000	\$666,500
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Subtotal TOF, Project	2	\$1,027,930	\$676,500	\$688,000	\$666,500
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Capital Subtotal, Category	5006	\$1,027,930	\$676,500	\$688,000	\$666,500
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Informational Subtotal, Category	5006				
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Total, Category	5006	\$1,027,930	\$676,500	\$688,000	\$666,500
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5007 Acquisition of Capital Equipment and Items

6/6 Acquisition of Radio Equipment

OBJECTS OF EXPENSE

Capital

5000 CAPITAL EXPENDITURES		\$66,487	\$0	\$0	\$0
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Capital Subtotal OOE, Project	6	\$66,487	\$0	\$0	\$0
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Subtotal OOE, Project	6	\$66,487	\$0	\$0	\$0
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TYPE OF FINANCING

Capital

CA 1 GENERAL REVENUE FUND		\$66,487	\$0	\$0	\$0
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Capital Subtotal TOF, Project	6	\$66,487	\$0	\$0	\$0
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Subtotal TOF, Project	6	\$66,487	\$0	\$0	\$0
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8/8 Modular furniture for newly funded FTEs

OBJECTS OF EXPENSE

5.A. CAPITAL BUDGET PROJECT SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 2:47:11PM

Agency code: 458

Agency name: Alcoholic Beverage Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	Est 2004	Bud 2005	BL 2006	BL 2007
<u>Capital</u>				
5000 CAPITAL EXPENDITURES	\$34,200	\$0	\$0	\$0
Capital Subtotal OOE, Project 8	\$34,200	\$0	\$0	\$0
Subtotal OOE, Project 8	\$34,200	\$0	\$0	\$0
TYPE OF FINANCING				
<u>Capital</u>				
CA 1 GENERAL REVENUE FUND	\$34,200	\$0	\$0	\$0
Capital Subtotal TOF, Project 8	\$34,200	\$0	\$0	\$0
Subtotal TOF, Project 8	\$34,200	\$0	\$0	\$0
<i>9/9 Handheld radio replacements for outdated equipment</i>				
TYPE OF FINANCING				
<u>Capital</u>				
CA 1 GENERAL REVENUE FUND	\$0	\$0	\$0	\$0
Capital Subtotal TOF, Project 9	\$0	\$0	\$0	\$0
Subtotal TOF, Project 9	\$0	\$0	\$0	\$0
<i>13/13 Undercover surveillance equipment.</i>				
TYPE OF FINANCING				
<u>Capital</u>				
CA 1 GENERAL REVENUE FUND	\$0	\$0	\$0	\$0
Capital Subtotal TOF, Project 13	\$0	\$0	\$0	\$0
Subtotal TOF, Project 13	\$0	\$0	\$0	\$0
<i>14/14 Automation of tax collections and reporting for ports of entry.</i>				
OBJECTS OF EXPENSE				

5.A. CAPITAL BUDGET PROJECT SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 2:47:11PM

Agency code: 458

Agency name: Alcoholic Beverage Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2004

Bud 2005

BL 2006

BL 2007

Capital

2009 OTHER OPERATING EXPENSE		\$0	\$0	\$0	\$0
5000 CAPITAL EXPENDITURES		\$0	\$0	\$0	\$0
Capital Subtotal OOE, Project	14	\$0	\$0	\$0	\$0
Subtotal OOE, Project	14	\$0	\$0	\$0	\$0

TYPE OF FINANCING

Capital

CA 1 GENERAL REVENUE FUND		\$0	\$0	\$0	\$0
Capital Subtotal TOF, Project	14	\$0	\$0	\$0	\$0
Subtotal TOF, Project	14	\$0	\$0	\$0	\$0
Capital Subtotal, Category	5007	\$100,687	\$0	\$0	\$0
Informational Subtotal, Category	5007				
Total, Category	5007	\$100,687	\$0	\$0	\$0

AGENCY TOTAL -CAPITAL		\$3,209,536	\$2,684,285	\$1,141,809	\$1,120,309
AGENCY TOTAL -INFORMATIONAL					
AGENCY TOTAL		\$3,209,536	\$2,684,285	\$1,141,809	\$1,120,309

METHOD OF FINANCING:

Capital

1 GENERAL REVENUE FUND		\$3,209,536	\$2,684,285	\$1,141,809	\$1,120,309
Total, Method of Financing-Capital		\$3,209,536	\$2,684,285	\$1,141,809	\$1,120,309
Total, Method of Financing		\$3,209,536	\$2,684,285	\$1,141,809	\$1,120,309

5.A. CAPITAL BUDGET PROJECT SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **2:47:11PM**

Agency code: **458**

Agency name: **Alcoholic Beverage Commission**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	Est 2004	Bud 2005	BL 2006	BL 2007
TYPE OF FINANCING:				
<u>Capital</u>				
CA CURRENT APPROPRIATIONS	\$3,209,536	\$2,684,285	\$1,141,809	\$1,120,309
Total, Type of Financing-Capital	\$3,209,536	\$2,684,285	\$1,141,809	\$1,120,309
Total, Type of Financing	\$3,209,536	\$2,684,285	\$1,141,809	\$1,120,309

5.B. CAPITAL BUDGET PROJECT INFORMATION
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME: 2:50:36PM

Agency Code:	458	Agency name:	Alcoholic Beverage Commission
Category Number:	5005	Category Name:	ACQUISITN INFO RES TECH.
Project number:	5	Project Name:	Modern Enterprise Environment

PROJECT DESCRIPTION

General Information

Project to migrate from an IBM mainframe computer system to a modern enterprise environment in order to lower operating costs, and enhance our ability to develop future functionality as expressed in our mission statement. The agency's long-term strategy has been to replace its mainframe computer system and utilize state of the art hardware and software to allow better quality of service to internal and external customers. High performance server equipment, a Storage Area Network (SANS) subsystem, and a high capacity tape backup subsystem will replace the agency's IBM mainframe computer system.

Number of Units / Average Unit Cost 8 Servers \$2,788/year; 1 SANS \$51,466/year; 1 Tape backup \$10,834/year

Estimated Completion Date Ongoing for leased hardware

Additional Capital Expenditure Amounts Required	2008	2009
	81,822	81,822

Type of Financing CA CURRENT APPROPRIATIONS

Projected Useful Life 3 years

Estimated/Actual Project Cost \$ 245,465

Length of Financing/ Lease Period 3 years

ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS

2006	2007	2008	2009	Total over project life
81,822	81,822	81,822	81,822	327,288

REVENUE GENERATION / COST SAVINGS

<u>REVENUE COST FLAG</u>	<u>MOF CODE</u>	<u>AVERAGE AMOUNT</u>

Explanation: Lease purchase of server equipment, SANS disk storage, and tape backup to replace the agency's IBM mainframe computer system. System components include 7 leased servers at \$19,520/year, 1 Storage Area Network at \$51,466/year, and 1 tape backup subsystem at \$10,834/year.

Project Location: Austin Headquarters

Beneficiaries: Employees of TABC and people of Texas

Frequency of Use and External Factors Affecting Use:

Daily access to servers for licensing, enforcement, and compliance related information.

5.C. CAPITAL BUDGET ALLOCATION TO STRATEGIES (BASELINE)
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **2:51:46PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	Est 2004	Bud 2005	BL 2006	BL 2007
5003 Repair or Rehabilitation of Buildings and Facilities						
	<i>3/3</i>	<i>BORDER STATIONS</i>				
Capital	4-1-2	INFORMATION RESOURCES	14,160	0	\$0	\$0
Capital	3-2-1	PORTS OF ENTRY	60,000	0	0	0
		TOTAL, PROJECT	<u>\$74,160</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
	<i>10/10</i>	<i>Remodeling-Office</i>				
Capital	4-1-1	CENTRAL ADMINISTRATION	2,615	0	0	0
Capital	4-1-2	INFORMATION RESOURCES	1,636	0	0	0
Capital	4-1-3	OTHER SUPPORT SERVICES	1,308	0	0	0
Capital	1-1-1	ENFORCEMENT	31,540	0	0	0
Capital	2-1-1	LICENSING AND INVESTIGATION	4,635	0	0	0
Capital	3-1-1	COMPLIANCE MONITORING	8,755	0	0	0
		TOTAL, PROJECT	<u>\$50,489</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
5005 Acquisition of Information Resource Technologies						
	<i>1/1</i>	<i>Agy Wide PCs Replacements (leased)</i>				
Capital	4-1-1	CENTRAL ADMINISTRATION	17,740	17,740	17,740	17,740
Capital	4-1-2	INFORMATION RESOURCES	20,799	20,799	20,799	20,799
Capital	4-1-3	OTHER SUPPORT SERVICES	9,501	9,501	9,501	9,501

5.C. CAPITAL BUDGET ALLOCATION TO STRATEGIES (BASELINE)
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **2:51:52PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	Est 2004	Bud 2005	BL 2006	BL 2007
Capital	1-1-1	ENFORCEMENT	136,991	148,153	\$136,956	\$136,956
Capital	1-1-2	EDUCATION PROGRAMS	0	0	15,600	15,600
Capital	2-1-1	LICENSING AND INVESTIGATION	18,078	18,079	26,814	26,814
Capital	3-1-1	COMPLIANCE MONITORING	70,776	74,001	44,369	44,369
Capital	3-2-1	PORTS OF ENTRY	13,004	17,429	12,589	12,589
		TOTAL, PROJECT	<u>\$286,889</u>	<u>\$305,702</u>	<u>\$284,368</u>	<u>\$284,368</u>
<i>4/4 Mainframe Operations</i>						
Capital	4-1-2	INFORMATION RESOURCES	291,411	244,012	0	0
		TOTAL, PROJECT	<u>\$291,411</u>	<u>\$244,012</u>	<u>\$0</u>	<u>\$0</u>
<i>5/5 Modern Enterprise Environment</i>						
Capital	4-1-2	INFORMATION RESOURCES	871,929	1,458,071	169,441	169,441
		TOTAL, PROJECT	<u>\$871,929</u>	<u>\$1,458,071</u>	<u>\$169,441</u>	<u>\$169,441</u>
<i>7/7 HRIS Software</i>						
Capital	4-1-2	INFORMATION RESOURCES	82,420	0	0	0
		TOTAL, PROJECT	<u>\$82,420</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<i>11/11 Security System</i>						
Capital	4-1-1	CENTRAL ADMINISTRATION	2,166	0	0	0

5.C. CAPITAL BUDGET ALLOCATION TO STRATEGIES (BASELINE)
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **2:51:52PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	Est 2004	Bud 2005	BL 2006	BL 2007
Capital	4-1-2	INFORMATION RESOURCES	1,355	0	\$0	\$0
Capital	4-1-3	OTHER SUPPORT SERVICES	1,083	0	0	0
Capital	1-1-1	ENFORCEMENT	26,125	0	0	0
Capital	2-1-1	LICENSING AND INVESTIGATION	3,839	0	0	0
Capital	3-1-1	COMPLIANCE MONITORING	7,252	0	0	0
		TOTAL, PROJECT	<u>\$41,820</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<i>12/12 Technology Infrastructure</i>						
Capital	4-1-2	INFORMATION RESOURCES	243,541	0	0	0
		TOTAL, PROJECT	<u>\$243,541</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<i>15/15 Excise Tax Automation</i>						
Capital	3-1-1	COMPLIANCE MONITORING	0	0	0	0
		TOTAL, PROJECT	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<i>16/16 Printing Operations</i>						
Capital	4-1-2	INFORMATION RESOURCES	62,434	0	0	0
		TOTAL, PROJECT	<u>\$62,434</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<i>17/17 Server Replacements</i>						
Capital	4-1-2	INFORMATION RESOURCES	75,826	0	0	0

5.C. CAPITAL BUDGET ALLOCATION TO STRATEGIES (BASELINE)
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **2:51:52PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2004	Bud 2005	BL 2006	BL 2007
TOTAL, PROJECT		\$75,826	\$0	\$0	\$0
5006 Transportation Items					
<i>2/2 FLEET ACQUISITION</i>					
Capital	1-1-1 ENFORCEMENT	720,430	676,500	\$688,000	\$666,500
Capital	3-1-1 COMPLIANCE MONITORING	307,500	0	0	0
TOTAL, PROJECT		\$1,027,930	\$676,500	\$688,000	\$666,500
5007 Acquisition of Capital Equipment and Items					
<i>6/6 Acquisition of Radio Equipment</i>					
Capital	1-1-1 ENFORCEMENT	66,487	0	0	0
TOTAL, PROJECT		\$66,487	\$0	\$0	\$0
<i>8/8 Modular Furniture</i>					
Capital	3-1-1 COMPLIANCE MONITORING	34,200	0	0	0
TOTAL, PROJECT		\$34,200	\$0	\$0	\$0
<i>14/14 Ports of Entry Automation</i>					
Capital	3-2-1 PORTS OF ENTRY	0	0	0	0
TOTAL, PROJECT		\$0	\$0	\$0	\$0

5.C. CAPITAL BUDGET ALLOCATION TO STRATEGIES (BASELINE)
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **2:51:52PM**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2004	Bud 2005	BL 2006	BL 2007
	TOTAL CAPITAL, ALL PROJECTS	\$3,209,536	\$2,684,285	\$1,141,809	\$1,120,309
	TOTAL INFORMATIONAL, ALL PROJECTS				
	TOTAL, ALL PROJECTS	\$3,209,536	\$2,684,285	\$1,141,809	\$1,120,309

5.D. CAPITAL BUDGET OPERATING AND MAINTENANCE EXPENSES

DATE: 8/13/2004

79th Regular Session, Agency Submission, Version 1

TIME: 2:52:21PM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **458**
 Project Number: **1**

Agency name: **Alcoholic Beverage Commission**
 Project name: **Agency-wide PC Replacements and new servers(leased)**

Operating Expenses Estimates (For Information Only)

CODE DESCRIPTION	2006	2007	2008	2009
OBJECTS OF EXPENSE:				
5000 CAPITAL EXPENDITURES	\$0	\$0	\$284,368	\$284,368
TOTAL, OBJECT OF EXPENSE	\$0	\$0	\$284,368	\$284,368
METHOD OF FINANCING:				
1 GENERAL REVENUE FUND	\$0	\$0	\$284,368	\$284,368
TOTAL, METHOD OF FINANCING	\$0	\$0	\$284,368	\$284,368
OPERATING COSTS DESCRIPTION AND JUSTIFICATION:				

5.D. CAPITAL BUDGET OPERATING AND MAINTENANCE EXPENSES

DATE: 8/13/2004

79th Regular Session, Agency Submission, Version 1

TIME: 2:52:29PM

Automated Budget and Evaluation System of Texas (ABEST)Agency Code: **458**
Project Number: **5**Agency name: **Alcoholic Beverage Commission**
Project name: **Re-Engineer Legacy Database System****Operating Expenses Estimates (For Information Only)**

CODE DESCRIPTION	2006	2007	2008	2009
OBJECTS OF EXPENSE:				
2009 OTHER OPERATING EXPENSE	\$108,699	\$108,699	\$108,699	\$108,699
5000 CAPITAL EXPENDITURES	\$81,822	\$81,822	\$81,822	\$81,822
TOTAL, OBJECT OF EXPENSE	\$190,521	\$190,521	\$190,521	\$190,521

OPERATING COSTS DESCRIPTION AND JUSTIFICATION:

In conjunction with the start up costs associated with this project, reoccurring costs for server leases and software licensing will continue to exists. These costs will continue until this system is replaced.

5.D. CAPITAL BUDGET OPERATING AND MAINTENANCE EXPENSES

DATE: 8/13/2004

79th Regular Session, Agency Submission, Version 1

TIME: 2:52:29PM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **458**
 Project Number: **12**

Agency name: **Alcoholic Beverage Commission**
 Project name: **Upgrade to the agency's information systems.**

Operating Expenses Estimates (For Information Only)

CODE DESCRIPTION	2006	2007	2008	2009
OBJECTS OF EXPENSE:				
2009 OTHER OPERATING EXPENSE	\$42,585	\$42,585	\$42,585	\$42,585
TOTAL, OBJECT OF EXPENSE	\$42,585	\$42,585	\$42,585	\$42,585
METHOD OF FINANCING:				
1 GENERAL REVENUE FUND	\$42,585	\$42,585	\$42,585	\$42,585
TOTAL, METHOD OF FINANCING	\$42,585	\$42,585	\$42,585	\$42,585

OPERATING COSTS DESCRIPTION AND JUSTIFICATION:

5.D. CAPITAL BUDGET OPERATING AND MAINTENANCE EXPENSES

DATE: 8/13/2004

79th Regular Session, Agency Submission, Version 1

TIME: 2:52:29PM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **458**
 Project Number: **17**

Agency name: **Alcoholic Beverage Commission**
 Project name: **Server Replacements of File Servers and Database Servers (Daily Operations)**

Operating Expenses Estimates (For Information Only)

CODE DESCRIPTION	2006	2007	2008	2009
OBJECTS OF EXPENSE:				
5000 CAPITAL EXPENDITURES	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE	\$0	\$0	\$0	\$0
METHOD OF FINANCING:				
1 GENERAL REVENUE FUND	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCING	\$0	\$0	\$0	\$0

OPERATING COSTS DESCRIPTION AND JUSTIFICATION:

CAPITAL BUDGET PROJECT SCHEDULE - EXCEPTIONAL
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME : 2:52:53PM
 PAGE: 1 of 2

Agency code: 458 Agency name: Alcoholic Beverage Commission

Category Code / Category Name

Project Number / Name

OOE / TOF / MOF CODE

Excp 2006

Excp 2007

5005 Acquisition of Information Resource Technologies

1 Agy Wide PCs Replacements (leased)

Objects of Expense

5000 CAPITAL EXPENDITURES

25,622

25,622

Subtotal OOE, Project 1

25,622

25,622

Type of Financing

CA 1 GENERAL REVENUE FUND

25,622

25,622

Subtotal TOF, Project 1

25,622

25,622

15 Excise Tax Automation

Objects of Expense

5000 CAPITAL EXPENDITURES

250,000

75,000

Subtotal OOE, Project 15

250,000

75,000

Type of Financing

CA 1 GENERAL REVENUE FUND

250,000

75,000

Subtotal TOF, Project 15

250,000

75,000

Subtotal Category 5005

275,622

100,622

5006 Transportation Items

2 FLEET ACQUISITION

Objects of Expense

5000 CAPITAL EXPENDITURES

279,500

279,500

Subtotal OOE, Project 2

279,500

279,500

Type of Financing

CA 1 GENERAL REVENUE FUND

279,500

279,500

Subtotal TOF, Project 2

279,500

279,500

CAPITAL BUDGET PROJECT SCHEDULE - EXCEPTIONAL
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME : **2:53:00PM**
 PAGE: **2** of **2**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

Category Code / Category Name

Project Number / Name

OOE / TOF / MOF CODE

Excp 2006

Excp 2007

Subtotal Category	5006		
		279,500	279,500
<hr/>			
5007 Acquisition of Capital Equipment and Items			
<u>14 Ports of Entry Automation</u>			
Objects of Expense			
5000 CAPITAL EXPENDITURES		80,000	25,000
Subtotal OOE, Project	14	80,000	25,000
<hr/>			
Type of Financing			
CA 1 GENERAL REVENUE FUND		80,000	25,000
Subtotal TOF, Project	14	80,000	25,000
<hr/>			
Subtotal Category	5007	80,000	25,000
<hr/>			
AGENCY TOTAL		635,122	405,122
<hr/>			
METHOD OF FINANCING:			
1 GENERAL REVENUE FUND		635,122	405,122
Total, Method of Financing		635,122	405,122
<hr/>			
TYPE OF FINANCING:			
CA CURRENT APPROPRIATIONS		635,122	405,122
Total, Type of Financing		635,122	405,122
<hr/>			

CAPITAL BUDGET ALLOCATION TO STRATEGIES BY PROJECT - EXCEPTIONAL
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **2:53:22PM**
 PAGE: **1 of 1**

Agency code: **458** Agency name: **Alcoholic Beverage Commission**

Category Code/Name

Project Number/Name

Goal/Obj/Str	Strategy Name	Excp 2006	Excp 2007
5005 Acquisition of Information Resource Technologies			
1	Agy Wide PCs Replacements (leased)		
1 1 1	ENFORCEMENT	16,800	16,800
3 1 1	COMPLIANCE MONITORING	3,097	3,097
3 2 1	PORTS OF ENTRY	5,725	5,725
TOTAL, PROJECT		25,622	25,622
15	Excise Tax Automation		
3 1 1	COMPLIANCE MONITORING	250,000	75,000
TOTAL, PROJECT		250,000	75,000
5006 Transportation Items			
2	FLEET ACQUISITION		
1 1 1	ENFORCEMENT	279,500	279,500
TOTAL, PROJECT		279,500	279,500
5007 Acquisition of Capital Equipment and Items			
14	Ports of Entry Automation		
3 2 1	PORTS OF ENTRY	80,000	25,000
TOTAL, PROJECT		80,000	25,000
TOTAL, ALL PROJECTS		635,122	405,122

6.A. HISTORICALLY UNDERUTILIZED BUSINESS SUPPORTING SCHEDULE

79th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/13/2004
Time: 3:27:16PM

Agency Code: 458 Agency: **Alcoholic Beverage Commission**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2002 - 2003 HUB Expenditure Information

Procurement Category	Statewide Adjusted HUB Goals	Adjusted HUB Expenditures FY 2002		Total Expenditures FY 2002	Adjusted HUB Expenditures FY 2003		Total Expenditures FY 2003
		HUB %	HUB \$		HUB %	HUB \$	
Heavy Construction	6.6%	0.0%	\$0	\$0	0.0%	\$0	\$1,100
Building Construction	25.1%	0.0%	\$0	\$0	0.0%	\$0	\$0
Special Trade Construction	47.0%	0.0%	\$0	\$7,242	91.0%	\$126,107	\$138,506
Professional Services	18.1%	0.0%	\$0	\$63,715	0.0%	\$0	\$58,521
Other Services	33.0%	14.4%	\$91,852	\$635,715	11.5%	\$68,813	\$599,733
Commodities	11.5%	16.0%	\$228,238	\$1,423,805	16.1%	\$237,097	\$1,475,491
Total Expenditures		15.0%	\$320,090	\$2,130,477	19.0%	\$432,017	\$2,273,351

B. Assessment of Fiscal Year 2002 - 2003 Efforts to Meet HUB Procurement Goals

Attainment:

The agency achieved a combined commodity category HUB expenditure total of 15% and 19% for fiscal years 2002 and 2003, respectively.

Applicability:

The agency obtained and exceeded the goal for the commodity procurement category during FY 2002 and did not obtain the goals for the five remaining categories. During FY 2003 the agency obtained and exceeded the goals established for the commodities and special trade construction categories and did not obtain the goals for the remaining four categories.

Factors Affecting Attainment:

The agency had no expenditures for the heavy construction and building construction categories during FY 2002 and no expenditures for the building construction category during FY 2003.

"Good-Faith" Efforts:

The agency made the following good faith efforts to comply with statewide HUB procurement goals per 1 TAC Section 111.13©: Ensured that contract specifications, terms, quantities and conditions reflected the agency's actual requirements, were clearly stated, and did not impose unreasonable or unnecessary requirements. Attended statewide economic opportunity forums and networked with businesses on the agency's procurement procedures and buying methods. Monitored agency purchase requests and HUB participation capabilities. In addition, the agency may utilize the following for reaching and/or increasing HUB participation in forthcoming fiscal years:

A HUB expenditures monitoring and reporting program that evaluates expenditures on a monthly and annual basis.

A HUB forum program wherein the agency will co-host an annual HUB forum with a preceding announcement in one or more trade publications or newspapers.

An in-house HUB program directed towards encouraging/sponsoring individual HUB vendors to directly deliver presentations at the agency.

6.B. Current Biennium One-time Expenditure Schedule

Agency Code: 458	Agency Name: Texas Alcoholic Beverage Commission	Prepared By: Charlie Kerr	Date: 8/13/2004	
Item	2004		2005	
	Amount	MOF	Amount	MOF
Modern Enterprise Environment Project	\$871,929	General Revenue	\$1,458,071	General Revenue

6.C. FEDERAL FUNDS SUPPORTING SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **3:31:25PM**

Agency code:	458	Agency name:	Alcoholic Beverage Commission			
CFDA NUMBER/ STRATEGY	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007	
16.727.000 COMBATING UNDERAGE DRINK						
1 - 1 - 1 ENFORCEMENT	232,038	535,815	679,164	360,000	360,000	
TOTAL, ALL STRATEGIES	\$232,038	\$535,815	\$679,164	\$360,000	\$360,000	
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0	0	0	
TOTAL, FEDERAL FUNDS	\$232,038	\$535,815	\$679,164	\$360,000	\$360,000	
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0	\$0	\$0	
20.600.000 State and Community Highw						
1 - 1 - 1 ENFORCEMENT	1,036	552	0	0	0	
TOTAL, ALL STRATEGIES	\$1,036	\$552	\$0	\$0	\$0	
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0	0	0	
TOTAL, FEDERAL FUNDS	\$1,036	\$552	\$0	\$0	\$0	
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0	\$0	\$0	

6.C. FEDERAL FUNDS SUPPORTING SCHEDULE
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2004**
 TIME: **3:31:40PM**

Agency code: **458** Agency name: Alcoholic Beverage Commission

CFDA NUMBER/ STRATEGY	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
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SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS

16.727.000 COMBATING UNDERAGE DRINK	232,038	535,815	679,164	360,000	360,000
20.600.000 State and Community Highw	1,036	552	0	0	0
TOTAL, ALL STRATEGIES	\$233,074	\$536,367	\$679,164	\$360,000	\$360,000
TOTAL , ADDL FED FUNDS FOR EMPL BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$233,074	\$536,367	\$679,164	\$360,000	\$360,000
TOTAL, ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0	\$0	\$0

SUMMARY OF SPECIAL CONCERNS/ISSUES

Assumptions and Methodology:

Grants are received primarily through inter-agency contracts. However, federal awards are pending from U.S. Department of Justice for \$359,208 (FFY 01) and \$360,000 for FFY 02. These awards will be under CFDA 16.727 (Enforcing Underage Drinking Laws).

Potential Loss:

6.E. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 8/13/2004

79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

TIME: 3:29:06PM

Agency Code: 458

Agency name: Alcoholic Beverage Commission

FUND/ACCOUNT	Act 2003	Exp 2004	Exp 2005	Bud 2006	Est 2007
1 GENERAL REVENUE FUND					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3143 Industrial Alcohol Mfg	200	436	436	436	436
3253 Liquor Tax	50,271,416	52,780,844	51,526,130	51,783,761	52,042,679
3254 Air/Train/Limousine Bev Tax	418,581	345,741	382,161	384,072	385,992
3256 Liquor Permit Fees	18,449,005	19,363,754	18,906,380	19,000,912	19,095,916
3257 License/Permit Sucharges-General	9,555,225	10,418,486	10,418,486	10,470,578	10,522,931
3258 Beer Tax	101,877,427	101,681,282	101,779,355	102,288,251	102,799,693
3259 Wine Tax	7,327,216	8,545,852	7,936,534	7,976,217	8,016,098
3261 Wine and Beer Permits	4,070,406	4,280,457	4,175,432	4,196,309	4,217,290
3263 Brew Pub License	8,400	11,018	9,709	9,758	9,806
3265 Malt Liquor (Ale) Tax	4,617,543	4,813,217	4,813,217	4,813,217	4,813,217
3266 Temp Charit Funct Permit-Alcohol	600	927	764	767	771
3268 Alcohol Bev Penalty Lieu Suspend	2,821,617	2,600,000	2,600,000	2,613,000	2,626,065
3269 Sale-Confiscated Alcohol Bevs	39,447	53,401	46,424	46,656	46,889
3271 Alcoholic Beverage Import Fee	1,129,277	1,308,319	1,218,798	1,224,892	1,231,016
3272 Alcoholic Bev Seller Trng Prog	434,143	433,323	433,733	435,902	438,081
3273 Alcoholic Bev - Samp & Labels Cert	305,325	309,982	307,654	309,192	310,738
3274 A B C Administrative Fees	59,227	87,573	73,400	73,767	74,136
3275 Cigarette Tax	1,265,881	1,228,360	1,247,121	1,253,356	1,259,623
3714 Judgments	404	417	411	413	415
3719 Fees/Copies or Filing of Records	7,226	5,775	6,501	6,533	6,566
3740 Grants/Donations	19,040	8,618	5,000	5,000	5,000
3750 Sale of Furniture & Equipment	0	0	0	0	0
3752 Sale of Publications/Advertising	1,427	3,327	2,377	2,389	2,401
3769 Forfeitures	480,504	335,185	335,000	336,675	338,358
3773 Insurance and Damages	28,342	44	0	0	0
3802 Reimbursements-Third Party	173	41	0	0	0
Subtotal: Actual/Estimated Revenue	203,188,052	208,616,379	206,225,023	207,232,053	208,244,117
Total Available	\$203,188,052	\$208,616,379	\$206,225,023	\$207,232,053	\$208,244,117

6.E. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 8/13/2004

79th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 3:29:13PM

Agency Code: **458**

Agency name: **Alcoholic Beverage Commission**

FUND/ACCOUNT	Act 2003	Exp 2004	Exp 2005	Bud 2006	Est 2007
Ending Fund/Account Balance	\$203,188,052	\$208,616,379	\$206,225,023	\$207,232,053	\$208,244,117

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Charlie Kerr

6.H. HOMELAND SECURITY FUNDING SCHEDULE - PART _A____
79th Regular Session, Agency Submission, Version 1

Agency Name: Texas Alcoholic Beverage Commission

Agency code: 458

CODE	DESCRIPTION	Exp 2002	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
OBJECTS OF EXPENSE							
1001	Salaries and Wages			\$749,475	\$1,271,767	\$1,208,485	\$1,208,485
1002	Other Personnel Costs			\$10,813	\$18,516	\$18,516	\$18,516
2001	Professional Fees and Services			\$11,094			
2002	Fuel and Lubricants			\$8,797	\$27,398	\$27,398	\$27,398
2003	Consumables			\$1,330	\$14,790	\$14,240	\$14,240
2004	Utilities			\$12,242	\$34,278	\$33,478	\$33,478
2005	Travel			\$18,518	\$75,135	\$59,135	\$59,135
2006	Rent-Building			\$3,518	\$25,000	\$25,000	\$25,000
2007	Rent-Other			\$810	\$8,375	\$8,375	\$8,375
2009	Other Operating			\$139,686	\$77,354	\$59,575	\$59,575
5000	Capital			\$39,609	\$32,730	\$31,730	\$31,730
	TOTAL, OBJECTS OF EXPENSE			\$995,892	\$1,585,343	\$1,485,932	\$1,485,932
METHOD OF FINANCING							
1	General Revenue			\$995,892	\$1,585,343	\$1,485,932	\$1,485,932
	Subtotal, MOF (General Revenue Funds)			\$995,892	\$1,585,343	\$1,485,932	\$1,485,932
	Total, Method of Finance			\$995,892	\$1,585,343	\$1,485,932	\$1,485,932
Full-Time Equivalent Positions				29.0	29.0	29.0	29.0

Use of Homeland Security Funds

All homeland security expenditures are contained within strategy 03-01-01 for fiscal years 2003-2005. Homeland security funds will be expended in strategies 01-01-01, 02-01-01 and 03-01-01 in fiscal years 2006-2007. Homeland security efforts are focused on alcoholic beverage licensees and permittees. TABC verifies application questions related to citizenship or residency, immigration status and funding sources. TABC is able to collect information not easily obtained by other agencies and works with the Small Business Administration, BICE, DEA, FBI, SSA, US Border patrol, US Department of Homeland Security and numerous local and state police agencies.

6.H. HOMELAND SECURITY FUNDING SCHEDULE - PART __B__
79th Regular Session, Agency Submission, Version 1

Agency Name: Texas Alcoholic Beverage Commission							
Agency code: 458							
CODE	DESCRIPTION	Exp 2002	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
OBJECTS OF EXPENSE							
1001	Salaries and Wages		\$14,194				
TOTAL, OBJECTS OF EXPENSE			\$14,194				
METHOD OF FINANCING							
555	Federal Funds						
	CFDA 97.036 Public Assistance Grant		\$14,194				
	Federal Emergency Management Agency						
Total, Method of Finance			\$14,194				
Full-Time Equivalent Positions			N/A				
Use of Homeland Security Funds							
Funds were expended for salaries for overtime worked during the Columbia Shuttle Disaster. Funds were expended out of strategy 01-01-01.							

7.A. INDIRECT ADMINISTRATIVE AND SUPPORT COSTS
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME : 3:33:40PM

Agency code: 458

Agency name: **Alcoholic Beverage Commission**

Strategy		Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
1-1-1	Enforcement					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$ 1,130,334	\$ 1,031,071	\$ 1,203,706	\$ 1,172,515	\$ 1,172,304
1002	OTHER PERSONNEL COSTS	120,162	80,772	32,448	33,947	33,947
2001	PROFESSIONAL FEES AND SERVICES	41,312	172,511	110,441	18,910	18,910
2002	FUELS AND LUBRICANTS	1,047	671	609	649	649
2003	CONSUMABLE SUPPLIES	10,244	14,511	16,324	17,083	17,083
2004	UTILITIES	14,842	45,442	15,920	16,335	16,335
2005	TRAVEL	13,234	12,259	33,447	15,261	15,261
2006	RENT - BUILDING	178,259	186,650	182,848	191,492	191,492
2007	RENT - MACHINE AND OTHER	172,250	6,361	4,974	5,258	5,258
2009	OTHER OPERATING EXPENSE	147,931	286,356	207,432	174,033	173,591
5000	CAPITAL EXPENDITURES	22,465	703,398	695,595	67,410	67,410
Total, Objects of Expense		\$ 1,852,080	\$ 2,540,002	\$ 2,503,744	\$ 1,712,893	\$ 1,712,240

METHOD OF FINANCING:

1	GENERAL REVENUE FUND	1,843,483	2,530,434	2,503,744	1,712,893	1,712,240
444	CRIMINAL JUSTICE GRANTS	8,085	9,568	0	0	0
666	APPROPRIATED RECEIPTS	512	0	0	0	0
Total, Method of Financing		\$ 1,852,080	\$ 2,540,002	\$ 2,503,744	\$ 1,712,893	\$ 1,712,240

FULL TIME EQUIVALENT POSITIONS

287.2	306.7	306.7	306.9	306.9
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Method of Allocation

Central Administration and Other Support Services indirect costs are allocated to the direct strategies based on the percent of FTEs for the direct strategies. Information Resources indirect costs are allocated to the direct strategies based on system usage for the period 2/1/03 thru 8/31/03.

7.A. INDIRECT ADMINISTRATIVE AND SUPPORT COSTS
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME : 3:34:02PM

Agency code: 458

Agency name: Alcoholic Beverage Commission

Strategy		Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
1-1-2	Deter Code Violations through Education					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$ 52,016	\$ 47,467	\$ 55,595	\$ 50,814	\$ 50,819
1002	OTHER PERSONNEL COSTS	5,544	3,769	1,496	1,472	1,472
2001	PROFESSIONAL FEES AND SERVICES	1,933	7,836	4,846	825	825
2002	FUELS AND LUBRICANTS	49	31	29	29	29
2003	CONSUMABLE SUPPLIES	466	669	753	740	741
2004	UTILITIES	674	2,016	718	711	711
2005	TRAVEL	617	575	1,505	659	659
2006	RENT - BUILDING	8,189	8,620	8,441	8,299	8,299
2007	RENT - MACHINE AND OTHER	7,583	297	232	227	227
2009	OTHER OPERATING EXPENSE	6,667	13,011	9,281	7,580	7,565
5000	CAPITAL EXPENDITURES	1,001	30,875	30,497	2,941	2,942
Total, Objects of Expense		\$ 84,739	\$ 115,166	\$ 113,393	\$ 74,297	\$ 74,289
METHOD OF FINANCING:						
1	GENERAL REVENUE FUND	84,346	114,732	113,393	74,297	74,289
444	CRIMINAL JUSTICE GRANTS	370	434	0	0	0
666	APPROPRIATED RECEIPTS	23	0	0	0	0
Total, Method of Financing		\$ 84,739	\$ 115,166	\$ 113,393	\$ 74,297	\$ 74,289
FULL TIME EQUIVALENT POSITIONS		14.4	13.0	13.0	12.0	12.0
Method of Allocation						

Central Administration and Other Support Services indirect costs are allocated to the direct strategies based on the percent of FTEs for the direct strategies. Information Resources indirect costs are allocated to the direct strategies based on system usage for the period 2/1/03 thru 8/31/03.

7.A. INDIRECT ADMINISTRATIVE AND SUPPORT COSTS
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME : 3:34:02PM

Agency code: 458

Agency name: **Alcoholic Beverage Commission**

Strategy	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
2-1-1 Licensing and Investigation					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$ 325,074	\$ 335,709	\$ 368,609	\$ 362,734	\$ 362,695
1002 OTHER PERSONNEL COSTS	32,465	19,796	10,251	10,735	10,735
2001 PROFESSIONAL FEES AND SERVICES	7,169	69,765	66,686	9,566	9,566
2002 FUELS AND LUBRICANTS	189	140	118	131	131
2003 CONSUMABLE SUPPLIES	3,722	4,589	5,128	5,373	5,373
2004 UTILITIES	5,649	24,547	7,129	7,263	7,263
2005 TRAVEL	2,564	2,628	15,385	3,128	3,128
2006 RENT - BUILDING	53,356	57,220	56,476	59,266	59,266
2007 RENT - MACHINE AND OTHER	99,983	1,488	1,217	1,308	1,308
2009 OTHER OPERATING EXPENSE	63,288	115,464	102,182	80,999	80,748
5000 CAPITAL EXPENDITURES	11,256	423,652	423,408	35,034	35,034
Total, Objects of Expense	\$ 604,715	\$ 1,054,998	\$ 1,056,589	\$ 575,537	\$ 575,247

METHOD OF FINANCING:

1 GENERAL REVENUE FUND	601,908	1,051,024	1,056,589	575,537	575,247
444 CRIMINAL JUSTICE GRANTS	2,640	3,974	0	0	0
666 APPROPRIATED RECEIPTS	167	0	0	0	0
Total, Method of Financing	\$ 604,715	\$ 1,054,998	\$ 1,056,589	\$ 575,537	\$ 575,247

FULL TIME EQUIVALENT POSITIONS

46.3	59.0	59.0	61.7	61.7
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Method of Allocation

Central Administration and Other Support Services indirect costs are allocated to the direct strategies based on the percent of FTEs for the direct strategies. Information Resources indirect costs are allocated to the direct strategies based on system usage for the period 2/1/03 thru 8/31/03.

7.A. INDIRECT ADMINISTRATIVE AND SUPPORT COSTS
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME : 3:34:02PM

Agency code: 458

Agency name: Alcoholic Beverage Commission

Strategy	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
3-1-1 Conduct Inspections and Monitor Compliance					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$ 250,552	\$ 309,103	\$ 360,063	\$ 269,962	\$ 269,922
1002 OTHER PERSONNEL COSTS	26,078	23,993	9,717	7,876	7,876
2001 PROFESSIONAL FEES AND SERVICES	7,902	52,179	34,154	5,311	5,311
2002 FUELS AND LUBRICANTS	202	199	180	131	131
2003 CONSUMABLE SUPPLIES	2,477	4,346	4,888	3,956	3,956
2004 UTILITIES	3,658	13,955	4,839	4,330	4,330
2005 TRAVEL	2,603	3,629	10,180	3,104	3,104
2006 RENT - BUILDING	40,070	55,835	54,712	44,096	44,096
2007 RENT - MACHINE AND OTHER	51,624	1,887	1,478	1,129	1,129
2009 OTHER OPERATING EXPENSE	38,319	86,601	63,363	47,062	46,931
5000 CAPITAL EXPENDITURES	6,258	217,492	215,231	19,172	19,172
Total, Objects of Expense	\$ 429,743	\$ 769,219	\$ 758,805	\$ 406,129	\$ 405,958
METHOD OF FINANCING:					
1 GENERAL REVENUE FUND	427,748	766,321	758,805	406,129	405,958
444 CRIMINAL JUSTICE GRANTS	1,876	2,898	0	0	0
666 APPROPRIATED RECEIPTS	119	0	0	0	0
Total, Method of Financing	\$ 429,743	\$ 769,219	\$ 758,805	\$ 406,129	\$ 405,958
FULL TIME EQUIVALENT POSITIONS	54.1	90.4	90.4	62.1	62.1

Method of Allocation

Central Administration and Other Support Services indirect costs are allocated to the direct strategies based on the percent of FTEs for the direct strategies. Information Resources indirect costs are allocated to the direct strategies based on system usage for the period 2/1/03 thru 8/31/03.

7.A. INDIRECT ADMINISTRATIVE AND SUPPORT COSTS
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME : 3:34:02PM

Agency code: 458

Agency name: **Alcoholic Beverage Commission**

Strategy	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
3-2-1 Place Ports Personnel for Maximum Revenues					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$ 303,466	\$ 255,702	\$ 318,277	\$ 289,521	\$ 289,454
1002 OTHER PERSONNEL COSTS	33,960	25,545	8,313	8,196	8,196
2001 PROFESSIONAL FEES AND SERVICES	14,918	31,255	1,338	1,697	1,697
2002 FUELS AND LUBRICANTS	372	233	219	216	216
2003 CONSUMABLE SUPPLIES	2,120	3,713	4,207	4,148	4,148
2004 UTILITIES	2,863	3,002	2,298	2,267	2,267
2005 TRAVEL	4,562	4,197	4,483	5,042	5,042
2006 RENT - BUILDING	46,160	49,300	47,938	47,263	47,263
2007 RENT - MACHINE AND OTHER	5,268	2,072	1,577	1,553	1,553
2009 OTHER OPERATING EXPENSE	22,866	52,168	22,069	21,251	21,235
5000 CAPITAL EXPENDITURES	2,136	9,426	5,544	5,305	5,305
Total, Objects of Expense	\$ 438,691	\$ 436,613	\$ 416,263	\$ 386,459	\$ 386,376
METHOD OF FINANCING:					
1 GENERAL REVENUE FUND	436,655	434,968	416,263	386,459	386,376
444 CRIMINAL JUSTICE GRANTS	1,915	1,645	0	0	0
666 APPROPRIATED RECEIPTS	121	0	0	0	0
Total, Method of Financing	\$ 438,691	\$ 436,613	\$ 416,263	\$ 386,459	\$ 386,376
FULL TIME EQUIVALENT POSITIONS	106.9	110.4	110.4	102.6	102.6

Method of Allocation

Central Administration and Other Support Services indirect costs are allocated to the direct strategies based on the percent of FTEs for the direct strategies. Information Resources indirect costs are not allocated to the this strategy based on system usage for the period 2/1/03 thru 8/31/03.

7.A. INDIRECT ADMINISTRATIVE AND SUPPORT COSTS
 79th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2004
 TIME : 3:34:02PM

Agency code: 458

Agency name: Alcoholic Beverage Commission

	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
GRAND TOTALS					
Objects of Expense					
1001 SALARIES AND WAGES	\$2,061,442	\$1,979,052	\$2,306,250	\$2,145,546	\$2,145,194
1002 OTHER PERSONNEL COSTS	\$218,209	\$153,875	\$62,225	\$62,226	\$62,226
2001 PROFESSIONAL FEES AND SERVICES	\$73,234	\$333,546	\$217,465	\$36,309	\$36,309
2002 FUELS AND LUBRICANTS	\$1,859	\$1,274	\$1,155	\$1,156	\$1,156
2003 CONSUMABLE SUPPLIES	\$19,029	\$27,828	\$31,300	\$31,300	\$31,301
2004 UTILITIES	\$27,686	\$88,962	\$30,904	\$30,906	\$30,906
2005 TRAVEL	\$23,580	\$23,288	\$65,000	\$27,194	\$27,194
2006 RENT - BUILDING	\$326,034	\$357,625	\$350,415	\$350,416	\$350,416
2007 RENT - MACHINE AND OTHER	\$336,708	\$12,105	\$9,478	\$9,475	\$9,475
2009 OTHER OPERATING EXPENSE	\$279,071	\$553,600	\$404,327	\$330,925	\$330,070
5000 CAPITAL EXPENDITURES	\$43,116	\$1,384,843	\$1,370,275	\$129,862	\$129,863
Total, Objects of Expense	\$3,409,968	\$4,915,998	\$4,848,794	\$3,155,315	\$3,154,110
Method of Financing					
1 GENERAL REVENUE FUND	\$3,394,140	\$4,897,479	\$4,848,794	\$3,155,315	\$3,154,110
444 CRIMINAL JUSTICE GRANTS	\$14,886	\$18,519	\$0	\$0	\$0
666 APPROPRIATED RECEIPTS	\$942	\$0	\$0	\$0	\$0
Total, Method of Financing	\$3,409,968	\$4,915,998	\$4,848,794	\$3,155,315	\$3,154,110
Full-Time-Equivalent Positions (FTE)	508.9	579.5	579.5	545.3	545.3